KEYS FOR BIOETHANOL PRODUCTION PROCESSES BY FERMENTATION AND IONIC LIQUID EXTRACTION

Antonia Pérez de los Ríos, Francisco José Hernández-Fernández,
Patricio Alfredo Zapata Henríquez, Fatiha Missoun, Jesús Hernández-Fernández,
Víctor Ortiz-Martínez, María José Salar-García,
Luis Javier Lozano Blanco, and Carlos Godínez

10 ABSTRACT:

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Bioethanol is produced by fermenting biomass. However, the main drawback of this 12 13 process is the high purification costs involved, which are due to the energy-intensive distillation step and a drying step if high ethanol purity is required. This work evaluates 14 15 an alternative separation-purification process for the production of ethanol, in which an ionic liquid is used to develop an integrated extraction-fermentation process. The 16 capacity of ionic liquids to extract ethanol from an ethanol aqueous solution and their 17 biocompatibility with Saccharomyces cerevisiae was analyzed. Ethanol extraction 18 percentages ranging from 33% to 62% were obtained with the following water insoluble 19 [EPy+][NTf2-] < [MTOA+][NTf2-] < [OMIM+][BF4-] <20 ionic liquids: [Hex3TDP+][dca-] < [Hex3TDP+][Br-] < [Hex3TDP+][Cl-]. These ionic liquids could 21 be used for in the postfermentation step of extraction. To evaluate the potential use of 22 these ionic liquids as extraction phase in an integrated reaction/separation process, their 23 biocompatibility with S. cerevisiae was analyzed. Of the above ionic liquids, 24 [MTOA+][NTf2-], [BMIM+][NTf2-], [OMIM+][NTf2-], [BMIM+][PF6-] and 25 [Hex3TDP+][Cl-] were found to be biocompatible with S. cerevisiae because they 26 allowed bacterial growth. From the extraction and biocompatibility tests, it can be 27 concluded that [MTOA+][NTf2 -] and [Hex3TDP+][Cl-] would be suitable for an 28 integrated extraction-fermentation process for ethanol production. 29

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31 KEYWORDS: Bioethanol, Ionic liquids, Liquid-liquid extraction, Fermentation,
32 Saccharomyces cerevisiae.

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37 The world is facing a gradual decline of energy resources, mainly due to the use of nonrenewable fuels. At the same time, energy consumption is expected to grow by 46% over 38 the next 20 years [1]. The solution to this problem depends mostly on the development 39 and application of technologies based on renewable energy. In particular, the biofuels 40 industry has a unique role to play in climate policy as a low carbon emission alternative 41 42 to fossil fuels. However, the success of biofuels as a viable option depends on both the 43 production process and the way emissions related to the use of land for the required biomass production are managed [2]. Among possible biofuels, ethanol has attracted 44 45 considerable attention and is considered one of the most important renewable fuels for contributing to the reduction of the negative environmental impacts generated by the use 46 47 of fossil fuels. The main types of feedstock used for the production of ethanol are (i) raw materials containing fermentable sugars (cane, beet and sweet sorghum), (ii) 48 49 polysaccharides, which can be hydrolyzed to obtain fermentable sugars (starch contained in grains such as corn and wheat) and (iii) lignocellulosic biomass [3]. Additionally, in 50 recent years, there has been an ongoing effort to diversify and adapt new sources of 51 biomass for its production [4,5]. Moreover, such materials do not contribute to the world 52 food supply, so their use avoids the constant debate about soil exploitation for the 53 production of fuels. However, ethanol production is a complex process, and the 54 transformation of such biological resources requires their conditioning or pretreatment to 55 make it possible for the microorganisms to convert them into ethanol. Next, aqueous 56 solutions of ethanol need to be concentrated to obtain hydrousethanol and this product 57 58 must be dried for it to be used as an oxygenate in gasoline [6]. The complexity of this process partly explains why ethanol has not played a major role as fuel compared to the 59 cheapest petroleum-derived fuels. Only in recent years, due to increasing environmental 60 concerns and periodic crises in the major oil exporting countries, has bioethanol become 61 62 to be regarded as a viable and real alternative in the energy market. In bioethanol production, the separation of alcohols in aqueous solutions has usually been achieved by 63 64 distillation, often using multiple columns to achieve the desired purity. However, 65 conventional distillation is an energy-intense process and the degree of alcohol purity 66 achievable by distillation is limited due the existence of a homogeneous azeotrope (as in the case of ethanol). For these reasons, alternative and energetically less expensive 67 68 separation methods, such as membrane technology and in situ product recovery, have

69 attracted increasing interest is recent years. However, the liquid–liquid extraction of 70 ethanol from aqueous media is difficult because the extractor solvent needs to be water 71 immiscible and highly polar in order to extract polar molecules like ethanol. As is well-72 known, it is difficult to find both properties in conventional organic solvents.

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Ionic liquids (ILs), for their part, have are shown themselves to be capable of extracting 74 75 polar molecules from water. A few examples can be found in the literature dealing with the separation of butanol from water using ionic liquids [7], whereas fewer deal with the 76 77 separation of ethanol from water [8]. Ionic liquids consist of an organic cation and an 78 inorganic polyatomic anion, which are liquid at temperatures close to environmental 79 ranges. Their most important characteristic is their non-detectable vapour pressure, which makes them environmentally benign compared with volatile organic compounds (VOCs). 80 81 Moreover, due to their ionic nature, by selecting different combinations of cations and anions, there is an almost limitless possibility to synthesize new ionic liquids, and thus 82 83 tailor their physical-chemical properties [9,10]. To develop an integrated fermentation/extraction process, itis of great importance to consider solvent toxicity 84 85 toward the microorganisms involved because the microbial activity necessary for the production of the desire product must be allowed to proceed. Despite their many 86 disadvantages, conventional organic solvents have been commonly used in a range of 87 multiphase bioprocess operations. However, they have also been shown to be toxic to 88 microbial cells, causing membrane damage and reducing the long-term operational 89 viability of catalysts. Furthermore, the main concerns for the industrial biotransformation 90 processes are their toxicity toward the process operators and the environment, and the 91 explosion hazard due their volatile and flammable nature [11]. Ionic liquids need to 92 overcome this inconvenience. Indeed, they could be designed to be highly selective for a 93 94 given compound and to show low toxicity during successful in situ product recovery [12]-This work describes key aspects of an integrated fermentation/separation process using 95 96 ionic liquids as extraction agents, in this case for extracting ethanol from aqueous solutions, the results of which should help establish structure-activity relationships. Until 97 98 now, very few examples of the extraction of ethanol with ionic liquids have been reported [7]. Furthermore, the biocompatibility of these ionic liquids with Sacharomyces 99 cerevisiae (S. cerevisiae) was analyzed to assess their potential use as extracting phase in 100 an integrated fermentation/separation system. 101

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105 Chemicals.

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107 The ionic liquids 1-ethyl-3-methylimidazolium dicyanamide [EMIM+][dca-], 1-butyl-3hexafluorophosphate [BMIM+][PF6-], 108 methylimidazolium 1-butyl-3-109 methylimidazolium chloride [BMIM+][Cl-], 1-butyl-3-methylimidazolium dicyanamide [BMIM+]-[dca-], 1-butyl-3-methylimidazolium acetate [BMIM+][acetate-], 1-butyl-3-110 methylimidazolium glycolate [BMIM+][glycolate-], 1-ethyl-3-methylimidazolium 111 tetrafluoroborate, [EMIM+][BF4-], 1-butyl-3-methylimidazolium tetrafluoroborate 112 113 [BMIM+][BF4-], 1-butyl-3-methylimidazolium {bis(trifluoromethyl)sulfonyl}imide [BMIM+]-[NTf2-],1-butyl-2,3-dimethylimidazolium tetrafluoroborate 114 [BDIMIM+][BF4-], 1-hexyl-3-methylimidazolium chloride [HMIM+]-[Cl-], 1-octyl-3-115 methylimidazolium chloride [OMIM+][Cl-], 1-hexyl-3-methylimidazolium 116 117 dicyanamide [HMIM+][dca-], 1-octyl-3-methylimidazoliumtetrafluoroborate [OMIM+][BF4–], 1-octyl-3-methylimidazolium{Bis(trifluoromethyl)sulfonyl}imide 118 119 [OMIM+][NTf2-], 1-octyl-3-methylimidazolium dicyanamide [OMIM+][dca-], 1methoxymethyl-3-methylimidazolium tetrafluoroborate [MOMMIM+][BF4–], 120 1methoxymethyl-3-methylimidazolium {Bis(trifluoromethyl)-sulfonyl}imide 121 [MOMMIN+][NTf2-], 1-methoxymethyl-3-methylimidazolium 122 dicyanamide 123 [MOMMIM+][dca-], 1-methoxymethyl-3-methylimidazoliumtrifluoromethanesulfonate [MOMMIM+]-[CF3SO3-], 1-methoxyethyl-3-methylimidazolium 124 tetrafluoroborate 125 [MOEMIM+][BF4–], 1-methoxyethyl-3-methylimidazolium dicyanamide 1-(3-hydroxypropyl)-3-methylimidazoliumdicyanamide [MOEMIM+][dca-], 126 [HOPMIM+][dca-], 1-(3-hydroxypropyl)-3-methylimidazoliumchloride 127 128 [HOPMIM+][Cl-], 1-ethoxyethyl-3methylimidazolium{Bis(trifluoromethyl)sulfonyl}imide [EOEMIM+][NTf2-], 1-octyl-129 3-methylpyridinium tetrafluoroborate [MOPy+][BF4-], 1-ethoxyethyl-3-130 131 methylimidazolium hexafluorophosphate [EOEMIM+][PF6–], 1-ethoxyethyl-3methylimidazolium dicyanamide [EOEMIM+][dca-], 1-butyl-3-methylimidazolium 132 [BMIM+][NO3-], ethylpyridinium ethylsulfate [EPy+][EtSO4-], 133 nitrate 1-butyl-3-methylpyridinium ethylpyridinium methylsulfate [MPy+][MeSO4–], 134 tetrafluoroborate [BMPy+][BF4-], ethylammonium nitrate [CH3CH2NH3+][NO3-], 1-135 dicyanamide 136 ethyl-1-methyl morpholinium [EMMor+][dca-] and

methyltrioctylammonium {bis(trifluoromethyl)sulfonyl}imide [MTOA+][NTf2-] were 137 Innovation GmbH 138 purchased from Solvent (Cologne, Germany). Tetradecyl(trihexyl)phosphonium chloride 139 [Hex3TDP+][Cl-], tetradecyl(trihexyl)phosphonium dicyanamide [Hex3TDP+][dca-], 140 141 triisobutyl(methyl)phosphonium tosylate [iBu3MeP+][TOS-] and tetradecyl(trihexyl)phosphonium bromide [Hex3TDP+][Br-] were purchased from 142 CYTEC GmbH (Germany). Ethylpyridinium {bis(trifluoromethyl)sulfonyl}imide 143 [EPy+][NTf2-],[MOPy+][dca-]144 and 1-butyl-3-methylpyridinium dicyanamide 145 [BMPy+][dca-] were purchased from LONZA GmbH (Germany). Methyloxazolinium [MOxa+][MeSO4-] 146 methylsulfate and 1-butyl-3-methyl-2-phenylimidazolium 147 methylsulfate [BMPhIM+][MeSO4-] were purchased from DEGUSSA GmbH (Germany). Other substrates, solvents and other chemicals were purchased from Sigma-148 149 Aldrich Chemicals Co. (Spain), and were of the highest purity available.

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151 Water Solubility of Ionic Liquids.

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153 The solubility of the IL in water was determined by using the cloud point method. For that, increasing 2 μ L volumes of ionic liquid were added to 1 mL of water contained in 154 10 mL glass test tubes, homogenizing by vigorously stirring for 1 min. Each time, a new 155 156 portion of ionic liquid was added until a complete dissolution occurred. The additions 157 stopped when more than 100 µL had been added and saturation was still not reached. In this case, the ionic liquid was considered water-soluble. The solubility of the ionic liquid 158 was calculated on the basis of the amount of ionic liquid added to the formation of the 159 160 saturated solution.

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162 Extraction of Ethanol with Ionic Liquids.

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Extraction was performed at 303.15 K. One milliliter of a 7% v/v ethanol solution (usual concentration in fermentation medium) was brought into contact with 1 mL of pure waterimmiscible IL in 10 mL glass test tubes. The mixture was shaken vigorously for 2 min to facilitate the transfer of ethanol into the ionic liquid phase before being left at a constant temperature to complete the phase separation. Samples were taken from the aqueous phase at 24, 48 h and 21 days. Extraction percentages were calculated by the following equation:

$$E = \frac{C_{IL}}{C_{IL} + C_W} \times 100$$
 [1]

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where CIL and CW refer to the initial and equilibrium concentration of ethanol in theionic liquid and the aqueous phase, respectively. Determinations were made in triplicate

- to ensure the repeatability of the tests and the standard deviations were calculated.
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177 Microorganism, Medium, and Culture Conditions.

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179 The yeast S. cerevisiae was used throughout this study. A suspension of 1 g/L was prepared in physiological water (9 g L^{-1}), and 200 μ L of this suspension was transferred 180 181 to YPD agar plates and incubated for 48 h. The inoculum was prepared by transferring a 182 single colony to a 250 mL flask with 100 mL of liquid YPD medium. The flask was 183 placed on an orbital shaker (shaking diameter 5 cm and shaking frequency 170rpm), and 184 incubated at 30 °C for 24 h. This solution was used as the inoculum for ethanol fermentation, which was carried out in a 100 Ml flask with 45 mL of ethanol fermentation 185 186 medium and 1% (v/v) inoculum at 30 °C and 200 rpm for 48 h. During the fermentation, small samples were taken at regular intervals to measure growth rates by 187 spectrophotometer. The compositions of the culture media were as follows (g L^{-1}): The 188 YPD agar medium: D-glucose 20, peptone 20, yeast extract 10, agar 20. The inoculum 189 190 medium: D-glucose 20, peptone 20, yeast extract 10. The fermentation medium: D-191 glucose 20, peptone 20, yeast extract10. Each medium was autoclaved at 121 °C for 20 192 min. After that, ionic liquid was added, and the mixture was vigorously shaken manually for 30 s and then placed in a water bath to be heated at 30°C for 24 h. 193

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195 Ionic Liquid Toxicity to S. cerevisiae Testing in Liquid Media.

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The growth rates of S. cerevisiae were measured in the presence and absence of ionic liquids. It should be noted that ionic liquids were added directly to the culture medium and shaken for 24 h at 30 °C, thus forming a biphasic system of 3% (v/v) ionic liquid. The cultures were inoculated with a 1% (v/v) sample from a 24 h S. cerevisiae culture grown in the same medium. The Erlenmeyer flasks were incubated at 30 °C with continuous, intensive shaking and samples were taken regularly to measure the OD660 using a 1650 PC ShimadzuUV–vis spectrophotometer. The OD660 was used to plot a 204 growth curve and specific growth rates for each condition. Specific growth rates (μ , h-1) 205 were calculated by selecting two time points, t_1 and t_2 , in the exponential growth phase. 206

$$\mu = \frac{\ln\left(\frac{O2_2}{OD_1}\right)}{t_2 - t_1}$$
[2]

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209 The residual activity (a_r) was also calculated as follows:

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$$a_r(\%) = \left(\frac{\mu_{IL}}{\mu_o}\right) \times 100$$
 [3]

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where µIL and µo are the specific growth measured in the presence of ionic liquid and in
the control, respectively. The morphological structure was observed using an OLYMPUS
CX41 microscope (Olympus Corporation, Tokyo, Japan). Yeast concentration was
determined by the dry weight method at 48 h [13].

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218 Agar Diffusion Test for Ionic Liquid Toxicity to S. cerevisiae.

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The agar diffusion test was also used to test ionic liquid toxicity toward S. cerevisiae. Pure ionic liquids and 3% (v/v) ionic liquid concentrations were used. Wells of 6 mm diameter were punched under sterilized conditions (by heating with a Bunsen burner in a laminar flow chamber) with a sterile glass tube. Samples of each IL (50μ L total volume) were then placed in the wells [14,15] using physiological water for the control wells. The radius of the inhibition zone around the wells was recorded.

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227 GC Analysis.

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Ethanol content in the aqueous phase was determined by gas chromatography (450 GC Bruker) using a Beta DEXTM capillary column ($30 \text{ m} \times 0.25 \text{ mm} \times 0.25 \mu\text{m}$, Tmax 230 °C, Supelco), and propanoic acid as internal standard. The chromatographic conditions were as follows: carrier gas (N2) at 1.89 psi (51 mL/min total flow); temperature program, 200 °C, 15 min; split ratio, 1/50; detector, 260 °C. The retention times of the peaks were as follows: ethanol, 5.1 min; propanoic acid, 13.2 min. Concentrations were calculated from calibration curves using stock solutions of pure ethanol. 236

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238 RESULTS AND DISCUSSION

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240 Solubility of Ionic Liquids in Water.

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The aim of this work was to use ionic liquids for the in situ extraction of ethanol in 242 aqueous solutions. The first condition for an ionic liquid to be used was that it must be 243 244 immiscible with water, thus forming the biphasic system in the aqueous solution required for the extraction of ethanol. For this reason, we first determined the solubility of a wide 245 246 range of ionic liquids with different anionic and cationic compositions. The solubility values are reported in units of % volume (ionic liquid)/volume (water) (Table 1). Ionic 247 248 liquids with a solubility lower than 10% in water (volume/volume) were considered water-immiscible. As can be seen in Table 1, certain trends between the ionic liquid 249 250 compositions and their solubility were observed. The solubility of ionic liquids in water strongly depends on the type of anion in the ionic liquid because the same cation and 251 252 different anions may produce significant differences in their solubility values, as can be 253 observed, for example, for the pairs [BMIM+][PF6-]/[BMIM+][C1-] and [BMIM+][acetate-]/[BMIM+][NTf2-]. The solubility of the anions followed the 254 sequence: [dca-], [Cl-] > [BF4-] > [PF6-] > [NTF2-]. This sequence can be clearly 255 256 observed for ionic liquids containing the cation [omim+]: [omim+] [dca-] > [omim+] [BF4–] >[omim+] [PF6–] > [omim+] [NTf2–]. With respect to the type of cation, the 257 258 solubility in water decreased as the alkyl chain length of the cation increased. This tendency was observed when the following ionic liquids were compared: [bmim+]-259 260 [NTF2-] > [omim+] [NTF2-], [bmim+] [PF6-] > [omim+] [PF6-] and [bmim+] [BF4-] 261 > [omim +] [BF4–]. The trihexyltetradecyl phosphonium cation was seen to be more water insoluble than 1-butyl-3-methyl imidazolium because the water solubility of the 262 ionic liquid [BMIM+][Cl-] was much higher than that of [Hex3TDP+][Cl-]. Because the 263 264 presence of ethanol might affect the solubility of the ionic liquid in the aqueous solution, solubility tests with the water-immiscible ionic liquids were performed in a 7% v/v 265 ethanol solution. No significant changes were observed in the solubility values of the 266 ionic liquids selected (data not shown), except for [MOPy+][dca-], which increased its 267 solubility and became miscible in the ethanol solution (<10% volume ionic liquid/water 268

volume). For this reason, [MOPy+] [dca-] was discarded for the following extraction
steps.

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272 Liquid–Liquid Extraction of Ethanol with Ionic Liquids.

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Ethanol was extracted from a 7% v/v solution of this compound ionic liquids with the 274 275 common characteristic of being immiscible in both water and 7% v/v ethanol solution in water. Table 2 shows the results for the different ionic liquids at several extraction times 276 277 (24, 48 h and 21 days). The increase in the extraction percentage from 24 h to 21 days 278 could be explained by the low extraction kinetics considering not continuous stirring was 279 applied during all over experiment (see section Extraction of Ethanol with Ionic Liquids). 280 The highest extraction percentages was achieved using the ionic liquids based on the 281 phosphonium and ammonium cations for which extraction ranged between 51.3 and 39.4% at 24 h. Regarding the relationship between the cation and anion composition of 282 283 the ionic liquids and their respective capacity as extracting solvents, it seems that the extraction percentage could be related with localized charges and small volumes ions, 284 285 which would permit strong interactions between the negative charges of the anion and the 286 dipole formed in the ethanol molecule. The positive charge of phosphonium and 287 ammonium cations are located in the phosphorus and ammonia atoms, respectively. 288 However, in the imidazolium cation the positive charge is delocalized. In the same way, 289 the chloride, bromide and dicyanamide anions are small and present a more localized negative charge with respect to NTf2-, whose charge is localized in five atoms. A 290 291 reduction in the extraction percentage was observed when the anion [NTf2-] was used, as can be seen, for example, by comparing[BMIM+][PF6-] with [BMIM+][NTf2-] at 292 293 the beginning of the experiment and [OMIM+][BF4-] vs [OMIM+][NTf2-]. The 294 pyridinium-based ionic liquids showed extraction percentages between those of 295 phosphonium- and imidazolium-based ionic liquids. Neves et al. (2011) [7] evaluated the 296 potential use oftetradecyltrihexylphosphonium-based ILs by means of ternary phase 297 diagrams. For 20 wt % of ethanol, the maximum ethanol extraction followed the 298 [Hex3TDP+][NTF2-] (87%)> [Hex3TDP+][dca-] (82%) sequence: >[Hex3TDP+][Br-] (78%) >[Hex3TDP+][Cl-] (72%)299 >[Hex3TDP+][phosphinate-](72%) > [Hex3TDP+][decanoate-] (70%) > [Hex3TDP+]-300 [CH3SO3-] (65%). Similarly, a high extraction percentage was obtained by using a 301 302 phosphonium-based ionic liquid. Furthermore, the extraction percentage decreased when

the size of the anion and the delocalization of the charge of the anion increased, as in the 303 case of the methanesulfonate anion. In the above study, the use of bistriflimide anion led 304 to good extraction behaviour, which does not agree with the results of the present work. 305 306 The higher extraction percentage reached with the phosphonium cation than in the present 307 work may have been due to the different ethanol concentrations used: 20 wt %compared with the 7 wt %% used in the present work. Furthermore, the extraction percentages 308 309 reported by Neves et al. were those obtained in the best extraction conditions. Garcia-Chavez et al. (2012) [8] studied the extraction of butanol (10% aqueous solution) with 310 311 ionic liquids based on ammonium cations and carboxylate and phosphinate anions. High extraction percentages (around 90%) were obtained using ammonium ionic liquids, while 312 313 the use of [HMIM+][NTf2-] (imidazolium cation and bistriflimide anion) significantly reduced the extraction percentage to 52.6%, which agrees with the results of the present 314 315 work. Hernández-Fernández et al. (2010) carried out the extraction of butanol from nhexane solutions using 13 ionic liquids based on 1-n-alkyl-3-methylimidazolium and n-316 317 alkylpyridinium cationsand а wide range of anions (hexafluorophosphate,bis{(trifluoromethyl)sulfonyl}imide, tetrafluoroborate, 318 319 methylsulfate,2(2-methoxyethoxy)ethylsulfate, ethylsulfate, n-octylsulfate,dicyanamide, 320 nitrate, tetrafluoroborate and chloride) [16]. These authors reported that the highest 321 extraction percentages of butanol from hexane solution for the same cation was obtained with the small anions and localized charges, which is also in agreement with the results 322 323 of the present work. It is important to point out that ethanol extraction from aqueous phase is more complicated than butanol extraction from hexane phase, because in the first case 324 325 a very polar compound (ethanol) is extracted from a very polar phase (water).

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327 Biocompatibility of Ionic Liquids to S. cerevisiae.

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After the extraction capability of ionic liquids was measured, the biocompatibility of these solvents with S. cerevisiae was evaluated by (i) measuring the growth rates of S. cerevisiae in culture media in the presence of 3% (v/v) ionic liquid and (ii) using the agar diffusion test.

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Effect of Ionic Liquids on the Growth of the Yeast Saccharomyces cerevisiae.

The biocompatibility of ionic liquids with S. cerevisiae was analyzed by measuring the growth rates in the presence and absence of the ionic liquids. Growth curves were obtained in YPD culture media. In the case of ionic liquid biphasic systems, we chose a 3% (v/v) ionic liquid because many authors [17,18] have shown that ILs are significantly toxic at between 2% and 5% (v/v) concentrations. Figure 1 shows the growth of the yeast S. cerevisiae at 3% (v/v) of the studied ionic liquids.

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To evaluate the effect of ionic liquids on the morphological structure of the 343 micoorganism, the yeast S. cerevisiae was observed at 48 h by microscope using the 344 methylene blue staining method (see Figure 2). As illustrated in Figure 1, the growth 345 curves of the yeast S. cerevisiae in the absence and presence of ionic liquids fitted typical 346 batch bacterial growth curves in the case of [MTOA+][NTf2-], [BMIM+][NTf2-], 347 348 [OMIM+][NTf2-], [BMIM+]-[PF6-], [Hex3TDP+][C1-] and the control, the specific growth rate (μ) in the log phase being between 0.08 and 0.47 h⁻¹ (see Table 3). For 349 350 [Hex3TDP+][Cl-], the culture started growing after an adaptation time, whereas in the case of [MTOA+]-[NTf2-] and [BMIM+][NTf2-] the growth curves were similar to the 351 352 control growth curve. The final yeast concentration of S. cerevisiae in [BMIM+][NTf2-] 353 and [MTOA+][NTf2-] was slightly higher than in the control at 48 h (see Table 3), after 354 which growth continued, whereas it stopped in the control. This may be explained by the extraction of ethanol or other fermentation products by the ionic liquids which can inhibit 355 356 the yeast growth. Furthermore, no cell death was observed (see Figure 2) in the above ionic liquids. [BMIM+][PF6-] and[OMIM+][NTf2-] were relatively nontoxic for S. 357 358 cerevisiae, but the yeast took longer to adapt to the medium and the decline phase occurred earlier in the case of the [BMIM+][PF6-] than in [OMIM+][NTf2-], in which 359 360 the yeast continued to grow at 48 h. In the case of [Hex3TDP+][Cl-], following total 361 inhibition during the first 12 h without any growth; after that, cells began to bud and growth continued at 48 h, as can be observed in Figure 2, probably due to the complete 362 adaptation of cells by this time. No growth or near zero growth was observed in the ionic 363 liquids [Hex3TDP+][Br-], [EPy+][NTf2-], [OMIM+][BF4-]and [Hex3TDP+][dca-], 364 with μ ranging from 0 to 0.03 h⁻¹ (see Table 3). For these ionic liquids, cells became 365 smaller and turned blue (dead cells) and no budding was observed (see Figure 2). It was 366 observed that yeast maintained higher activity in ionic liquids based on imidazoulim 367 cations combined with hydrophobic anions, such as [PF6-] and [NTf2-], as well as in 368 ammonium cation combined with hydrophobic anions, such as [MTOA+][NTf2-]. 369

Furthermore, the combination of imidazolium cations with more hydrophilic anions 370 inhibited S. cerevisiae growth, as in the case of [OMIM+][BF4-], which completely 371 inhibited growth, revealing its toxic character toward the microorganism. Low 372 biocompatibility was obtained with pyridinium and phosphonium cations such as 373 374 [EPy+][NTf2-] and [Hex3TDP+][dca-], [Hex3TDP+]Br-] [Hex3TDP+][Cl-], respectively. In the last case, a degree of adaptation seemed to have been reached by the 375 376 end of the experiment. Such behaviour seems to be related with the ionic liquid solubility (see Table1). Ionic liquids with low water-solubility, such as [MTOA+]-[NTf2-], 377 [BMIM+][NTf2-], [OMIM+][NTf2-] and [BMIM+]-[PF6-], presented a higher degree 378 of yeast compatibility than the more water-soluble ionic liquids, such as 379 [Hex3TDP+][Cl-], [Hex3TDP+][Br-], [EPy+][NTf2-], [OMIM+][BF4-]380 and [Hex3TDP+][dca-]. The better behaviour of [BMIM+][NTf2-]vs [BMIM+][PF6-] 381 382 could be also related with the higher water solubility of ILs containing [PF6-] anion respect to [NTf2-]. The greater the solubility of the ionic liquids in water, the greater the 383 384 concentration in the culture medium and the greater the interaction of the ionic liquid with the yeast. Not only the water solubility affect to ionic liquids toxicity to yeast but also the 385 386 ionic liquids structure since [OMIM+][NTf2-] is more hydrophobic than [BMIM+][NTf2-] (higher alkyl chain length)and better biocompatibility was obtained 387 with [BMIM+]-[NTf2-] than [OMIM+][NTf2-] based on growth rates of S. cerevisiae 388 (Table 2) and (ii) using the agar diffusion tests (Table 3). That behaviour was found in S. 389 390 cerevisiae [19] and even other microorganism [20]. In the case of S. cerevisiae [19] an increased in the toxicity was found from [BMIM+][C1-] to [HMIM+][C1-] and 391 392 [OMIM+][Cl-] at 1000 ppm concentration of ionic liquid. Regarding water insoluble ionic liquids, [MTOA+][NTf2-],[BMIM+][NTf2], [BMIM+][PF6-] resulted to be 393 394 biocompatible by using a viability assays conducted after the incubation of 20 g DCW L⁻¹ S. cerevisiae FasB His6 at 27 °C and 300 rpm for 20 h [21]. Water-immiscible ionic 395 396 liquids have been also used in a biphasic system to enhance the 2-phenylethanol concentration by means of in situ product removal catalyst by S. cerevisiae. A correlation 397 398 between the IL structure and the effect on yeast growth was investigated. [NTf2-] anions were found to be the most biocompatible in comparison to [PF6-] and [BF4-]. 399 Furthermore, it was also found that the longer the alkyl side chain on the imidazolium 400 ring, the lower is its biocompatibility [22]. The relationship between ionic liquids 401 402 structure- activity found in previous work are in agreement with the results found in the 403 present work. On the other hand, water-soluble ionic liquid has recently received attention

as suitable candidates for lignocellulosic biomass pretreatment prior enzymatic 404 saccharification and, obviously, for second-generation bioethanol production. 405 Consequently, their impacts on downstream S. cerevisiae growth and biofuel production 406 have been studied. Specifically, the ionic liquids 1-ethyl-3-methylimidazolium acetate23 407 408 ([BMIM+][OAc-]), 1-butyl-3-methylimidazolium chloride24 ([BMIM+][Cl-]) and 1ethyl-3-methylimidazolium methylphosphonate25 ([EMIM+][MeO(H)PO2-]) were 409 studied. Both the growth and ethanol production using S. cerevisiae is strongly influenced 410 for the above ionic liquids. However, low ionic liquid concentrations shown to have a 411 412 minimal impact on S. cerevisiae. Those limit concentrations were 0.1% for 413 [EMIM+][OAc-] [23], 1 ppm for [BMIM+][Cl-] [24] and 5% for 414 [EMIM+][MeO(H)PO2-] [25]. In the latter case, the addition of ILs to the growth medium inhibited the oxygen transfer rate (OTR) and switched the metabolism from 415 416 respiration (conversion of glucose into biomass) to fermentation (conversion of glucose to ethanol). This behaviour was observed at low IL concentrations (\leq 5% IL), whereas 417 418 above this value there is no significant growth or ethanol production. Very recently, the mechanism of toxicity was investigated for 1-ethyl-3-methylimidazolium chloride 419 420 ([EMIM+][C1-]), [BMIM+] [C1-] and [EMIM+][OAc-]. It has been found that some ILs 421 likely target mitochondria. ILs induced abnormal mitochondrial morphology, as well as 422 altered polarization of mitochondrial membrane potential [26]. Those studies corroborate our results in which solubility of ionic liquids in water could negatively affect the growth 423 424 and ethanol production using S. cerevisae.

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426 Determination of the Toxic Effect of Ionic Liquids on the Yeast S. cerevisiae Using the427 Agar Diffusion Test.

428

429 The agar diffusion test is an inexpensive method, requires little preparation and no specialized equipment, uses small quantities of the test compound [27,28] and only basic 430 431 microbiological skills are needed. This test was used in order to confirm the results in liquid medium. The experiments were carried out with two concentrations, pure ionic 432 liquids and 3% (v/v) ionic liquid concentration. The results showed that 433 [MTOA+][NTf2-] and[BMIM+][NTf2-] had no effect on the growth of the yeast S. 434 cerevisiae because the diameter of the growth inhibition zone was zero in the 435 concentration of 3%(v/v) and for the pure ILs. 436

Furthermore, the same toxic behaviour was obtained in the liquid medium with respect to
the agar diffusion test at 3% (v/v) ionic liquids (i.e., good behaviour for
[MTOA+][NTf2-] and[BMIM+][NTf2-] and high inhibition for [OMIM+][BF4-]
and[Hex3TDP+][dca-]), which confirm the results obtained in the growing experiment
(see Table 3).

443

444 New Extraction-Fermentation Process for BioethanolProduction.

445

446 Several ionic liquids were found to be water insoluble and capable of a high ethanol extraction efficiency: [Hex3TDP+][Cl-], [Hex3TDP+][dca-], [Hex3TDP+][Br-], 447 [MTOA+][NTf2-] and [OMIM+][BF4-]. The extraction percentage was mainly related 448 to the ionic liquid composition, increasing with localized charges and small volumes ions. 449 450 It is important to note that it is very difficult to find conventional organic solvents which extract ethanol with a high efficiency because they would need to be water-insoluble and 451 452 polar, two properties that are very difficult to find in conventional organic solvents. However, we have shown that it is possible to find water-insoluble ionic liquids with the 453 454 capacity to extract the ethanol, because ionic liquids are polar compounds due to the 455 charge of the anion and the cation. Furthermore, an integrated fermentation-extraction process requires that the insoluble ionic liquid be biocompatible with the yeast at saturated 456 ionic liquid concentrations in water. It has been seen that the ionic liquids 457 458 [MTOA+][NTf2-], [BMIM+][NTf2-], [BMIM+][PF6-] and, to a lesser extent, [Hex3TDP+][Cl-] are biocompatible with S. cerevisiae because they allow the bacteria 459 460 to grow. The ionic liquids [MTOA+][NTF2-] and [Hex3TDP+][C1-] were suitable for ethanol extraction and allowed the growth of S. cerevisiae, meaning that they can be used 461 in an integrated ethanol fermentation and extraction process. Both [Hex3TDP+][dca-] 462 463 and [Hex3TDP+] [Br-] showed a good ethanol extraction capacity but were toxic to S. cerevisiae, so that cannot be considered suitable for extractive fermentation, although 464 465 they could be used for the postfermentation-extraction of ethanol. Once the ethanol has 466 been extracted with the ionic liquids, both can be easily separated by distillation due to the very low vapour pressure of the ionic liquid. All the energy of this distillation process 467 would be used in evaporating the ethanol (not ethanol and water, as in the case of 468 ethanol-water distillation). For that reason, the new processes could save energy since 469 conventional distillation is an energy-intense process. In the case of some of water can be 470 471 retained in the ionic liquid phase, the amount of water will below because we are using

water insoluble ionic liquids and easily separable from ethanol since ionic liquids have 472 been shown to break azeotropes [29]. Because of easy separation of the little amount of 473 water from ethanol, it is possible not to need an expensive drying process after ionic 474 475 liquids distillation, reducing the capital cost of the process. Furthermore, in the case of 476 integrated fermentation and separation process, besides saving capital cost the fermentation efficiency could be improved because the ethanol, which could inhibit S. 477 cerevisiae, continuously relies from the growth medium. Regarding the sustainability of 478 the process, it is worthy to be highlighted that the ionic liquids could be recycled along 479 480 the process after separation in the distillation step and then reused in the extraction step. 481 That is possible thanks to the thermal and chemical stability of ionic liquids [30].

482

483 CONCLUSIONS

484

This work evaluates the use of ionic liquids as extraction agentsin an integrated 485 486 extraction-fermentation process for bioethanolproduction. The ethanol extraction percentages ranged from 33% to 62%, increasing in the sequence [EPy+][NTf2-] 487 488 <[MTOA+][NTf2-] < [OMIM+][BF4-] < [Hex3TDP+][dca-] <[Hex3TDP+][Br-] < [Hex3TDP+][Cl-]. Of these, [MTOA+][NTf2-] and [Hex3TDP+][Cl-] can be 489 490 considered suitable for an integrated extraction-fermentation process for ethanol 491 production. This work has clearly demonstrated the exciting potential of combining ionic 492 liquid extraction with fermentation for the bio-production of polar molecules like ethanol. 493 The results of this study are encouraging and suggest that this new process may lead the 494 way toward new alternatives for the biosynthesis of polar compounds using microorganisms. 495

496

497 Notes

498 The authors declare no competing financial interest.

499

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Table 1. Solubility of the assayed ionic liquids in pure water.

| Ionic liquid | Solubility (% v/v) | Ionic liquid | Solubility (% v/v) | |
|------------------|--------------------|---------------------|--------------------|--|
| [OMIM+][NTf2-] | < 0.04 | [HMIM+][dca-] | > 10 | |
| [MTOA+][NTf2-] | < 0.02 | [OMIM+][dca-] | > 10 | |
| [BMIM+][NTf2-] | < 0.28 | [MOMMIM+][dca-] | > 10 | |
| [BMIM+][PF6-] | < 0.50 | [MOEMIM+][dca-] | > 10 | |
| [OMIM+][BF4-] | < 1.4 | [HOPMIM+][dca-] | > 10 | |
| [MOMMIM+][NTf2-] | < 2.0 | [BMPy+][dca-] | > 10 | |
| [EOEMIM+][PF6-] | < 2.0 | [EMmor+][dca-] | > 10 | |
| [EOEMIM+][NTf2-] | < 2.0 | [BMIM+][Cl-] | > 10 | |
| [Hex3TDP+][dca-] | < 2.0 | [HMIM+][CI-] | > 10 | |
| [Hex3TDP+][Br-] | < 2.0 | [OMIM+][Cl-] | > 10 | |
| [Hex3TDP+][Cl-] | < 2.0 | [Moxa+][MeSO4-] | > 10 | |
| [EPy+][NTf2-] | < 2.0 | [MPy+][MeSO4-] | > 10 | |
| [MOPy+][dca–] | < 8.0 | [BMPheIM+][MeSO4–] | > 10 | |
| [EMIM+][BF4-] | > 10 | [EPy+][EtSO4-] | > 10 | |
| [BMIM+][BF4–] | > 10 | [BMIM+][NO3-] | > 10 | |
| [BDIMIM+][BF4] | > 10 | [CH3CH2NH3+][NO3-] | > 10 | |
| [MOMMIM+][BF4–] | > 10 | [iBu3MeP+][TOS–] | > 10 | |
| [MOEMIM+][BF4–] | > 10 | [MOMMIM+][CF3SO3-] | > 10 | |
| [BMPy+][BF4–] | > 10 | [BMIM+][acetate-] | > 10 | |
| [MOPy+][BF4–] | > 10 | [BMIM+][glycolate–] | > 10 | |
| [EMIM+][dca-] | > 10 | | | |
| [BMIM+][dca-] | > 10 | | | |

| Ionic liquid | E _{24h} (%) | E _{48h} (%) | E _{21 days} (%) |
|------------------|----------------------------------|----------------------------------|----------------------------------|
| [Hex3TDP+][Cl-] | $\textbf{51.3} \pm \textbf{2.8}$ | $\textbf{56.3} \pm \textbf{2.8}$ | 61.7 ± 4.8 |
| [Hex3TDP+][dca-] | $\textbf{47.3} \pm \textbf{3.2}$ | $\textbf{41.4} \pm \textbf{2.8}$ | $\textbf{45.2} \pm \textbf{4.0}$ |
| [Hex3TDP+][Br-] | $\textbf{46.0} \pm \textbf{3.7}$ | 51.2 ± 2.8 | 52.0 ± 3.2 |
| [MTOA+][NTf2-] | $\textbf{39.4} \pm \textbf{1.7}$ | $\textbf{35.4} \pm \textbf{2.8}$ | $\textbf{37.2} \pm \textbf{3.1}$ |
| [OMIM+][BF4-] | $\textbf{31.0} \pm \textbf{1.1}$ | $\textbf{36.8} \pm \textbf{2.8}$ | 40.8 ± 4.2 |
| [EPy+][NTf2-] | $\textbf{27.9} \pm \textbf{2.0}$ | $\textbf{30.2} \pm \textbf{2.8}$ | $\textbf{32.5} \pm \textbf{4.2}$ |
| [BMIM+][PF6-] | $\textbf{19.1} \pm \textbf{2.4}$ | 24.9 ± 2.8 | $\textbf{26.6} \pm \textbf{1.5}$ |
| [BMIM+][NTf2-] | $\textbf{12.6} \pm \textbf{1.7}$ | 26.0 ± 2.8 | $\textbf{26.2} \pm \textbf{1.4}$ |
| [OMIM+][NTf2-] | $\textbf{7.8} \pm \textbf{1.8}$ | 23.7 ± 2.8 | $\textbf{29.1}\pm\textbf{0.4}$ |

Table 2. Extraction percentages of ethanol from aqueous solutions with ionic liquids

Table 3. Effect of ionic liquids on the growth of the yeast S. cerevisiae ^a.

| ILs | Radius of inhibition (cm in solid medium) | | In liquid medium | |
|------------------|--|-----------------------------------|------------------------|---------|
| ILS | Pure ionic liquids | 3% (v/v) ionic liquids | D _w (g L⁻¹) | μ (h⁻¹) |
| | • | · | 5.00 | 0.40 |
| Control | 0.00 ± 0.00 | 0.00 ± 0.00 | 5.33 | 0.43 |
| [BMIM+][NTf2-] | 0.00 ± 0.00 | 0.00 ± 0.00 | 6.44 | 0.47 |
| [MTOA+][NTF2-] | 0.00 ± 0.00 | $\textbf{0.00} \pm \textbf{0.00}$ | 5.81 | 0.30 |
| [BMIM+][PF6-] | $\textbf{0.4} \pm \textbf{0.10}$ | $\textbf{0.00} \pm \textbf{0.10}$ | 2.24 | 0.32 |
| [OMIM+][NTf2-] | $\textbf{0.3}\pm\textbf{0.10}$ | $\textbf{0.20} \pm \textbf{0.05}$ | 3.60 | 0.12 |
| [Hex3TDP+][Cl-] | 0.2 ± 0.05 | $\textbf{0.40} \pm \textbf{0.10}$ | 1.75 | 0.08 |
| [Hex3TDP+][Br-] | 0.9 ± 0.25 | $\textbf{0.40} \pm \textbf{0.10}$ | 0.34 | 0.03 |
| [EPy+][NTf2-] | 0.5 ± 0.15 | $\textbf{0.40} \pm \textbf{0.10}$ | 0.84 | 0.02 |
| [OMIM+][BF4-] | 1.3 ± 0.40 | 0.40 ± 0.10 | 0.64 | 0.00 |
| [Hex3TDP+][dca-] | 0.5 ± 0.10 | 0.40 ± 0.10 | 0.34 | 0.00 |

^a Inhibition zones were evaluated using the agar diffusion test in the presence of pure ionic liquids and 3% (v/v) IL concentrations. D_w represents final dry weight concentration of yeast at 48 h, μ represents specific growth rates (h⁻¹). Data are the means of 3 replicates.

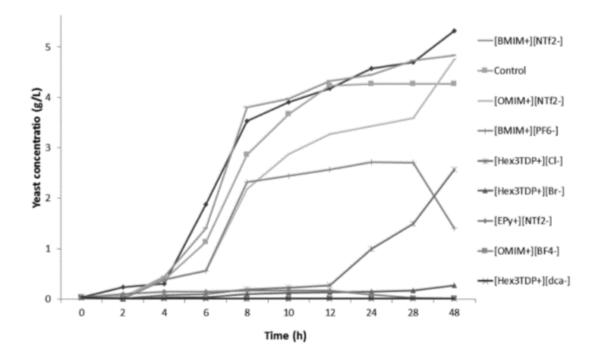
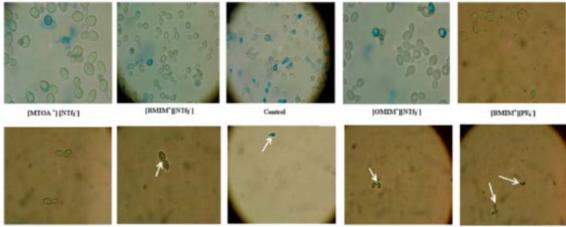


Figure 1. Growth curves of the yeast Saccharomyces cerevisiae in the presence of ionic liquids and control.

Figure 2. Morphological image of the yeast S. cerevisiae after 48 h of culture with different ionic liquids using the blue methylene staining (arrow: death of cells (small, dark blue cells).



[Hex]TDP [[CT]

[Hes3TDP'][Br]

(EPy')[NTG]

[OMIM'][BF4]

[Hex3TDP'][dea']