

Effect of the axial scraping velocity on enhanced heat exchangers

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Abstract

The flow pattern within an enhanced tubular heat exchanger equipped with a reciprocating scraping device is experimentally analysed. The insert device, specially designed to avoid fouling and to enhance heat transfer, has also been used to produce ice slurry. It consists of several circular perforated scraping discs mounted on a coaxial shaft. The whole is moved alternatively along the axial direction by a hydraulic cylinder.

The phase-averaged velocity fields of the turbulent flow have been obtained with PIV technique for both scraping semi-cycles. Special attention has been paid to the effect of the non-dimensional scraping velocity and the Reynolds number in the flow field. CFD simulations provide support for the identification of the flow patterns and the parameter assessment extension.

The results show how the scraping parameters affect the turbulence level produced in the flow and therefore the desired heat transfer enhancement.

Keywords: heat transfer enhancement, visualization study, turbulence level, numerical simulation, insert device

1 **Nomenclature**

2	D	inner diameter of the acrylic pipe ,	[m]
3	d	diameter of the insert device shaft ,	[m]
4	D_h	hydraulic diameter $D_h = D - d = 0.028$,	[m]
5	k	turbulent kinetic energy ,	[m ² /s ²]
6	L	longitudinal position referenced to the centre of the scraper, being	
7		positive downstream of it ,	[mm]
8	N	number of pair of images in an experiment	
9	n	number of pixels in the distance D_h in an image	
10	Q	flow rate ,	[m ³ /s]
11	R	relation between distances in a PIV image, $R = 6928.6$,	[pix/m]
12	r	radial position ,	[m]
13	s	standard deviation function	
14	T	temperature ,	[°C]
15	v	fluid velocity ,	[m/s]
16	v_b	bulk velocity ,	[m/s]
17	V	mean velocity component ,	[m/s]
18	v'	turbulent component of velocity ,	[m/s]

19 \tilde{v} random error component of velocity , [m/s]

20 Dimensionless numbers

21 β blockage parameter, $\beta = 1 - v_s/v_b$

22 k^* non dimensional turbulent kinetic energy, $k^* = k/v_b^2$

23 r^* non dimensional radial position, $r^* = 2r/(D - d)$

24 Re Reynolds number, $Re = \rho v_b D_h / \mu$

25 v^* non-dimensional velocity, $v^* = v/v_b$.

26 Greek Symbols

27 Δt time elapsed between two consecutive images , [s]

28 Δx average displacement of the tracing particles contained in an Inter-
29 rogation Area between the two images of a pair , [pix]

30 μ dynamic viscosity of the fluid , [Pa·s]

31 μ_{eff} effective viscosity , [Pa·s]

32 ρ density of the fluid , [kg/m³]

33 ε dissipation rate of turbulent kinetic energy , [m²/s³]

34 Subscripts

35 co, ct co-current and counter-current directions

36 max maximum value

37 *min* minimum value

38 *s* scraper

39 *y* axial direction.

40 **1. Introduction**

41 Insert devices have been deeply investigated (Webb, 2005) in order to
42 improve their efficiency: heat transfer vs. pressure drop. Heat transfer
43 enhancement techniques can be classified into *active* and *passive*. The *pas-*
44 *sive* ones, like inserted wire coils or mechanically deformed pipes, have been
45 studied for the last 30 years and have become commercial solutions. Webb
46 deduced from his work that *active* techniques can produce very high increases
47 in heat transfer, especially in laminar flow.

48 The fouling problem of heat exchangers has a significant impact on chem-
49 ical, petrochemical and food industries. Preventing fouling on heat exchang-
50 ing devices is essential to avoid heat transfer inefficiencies, corrosion due to
51 deposits formation and pressure loss, which affects the devices' performance
52 (Bergles, 2002).

53 Mechanically assisted heat exchangers, where a heat transfer surface is
54 periodically scraped by a moving element, might be used to increase heat
55 transfer and avoid fouling. Equipment with rotating scraping blades is found
56 in commercial practice: these devices prevent fouling and promote mixing
57 and heat transfer. Many investigations have focused on these anti-fouling de-
58 vices, studying flow pattern characteristics (Wang et al., 1999), their thermo-
59 hydraulic performance (De Goede and De Jong, 1993) or scraping efficiency

60 (Sun et al., 2004).

61 A particular case of fouling problem is the generation of ice slurry in heat
62 exchangers with moving scraping devices. By cooling the outer surface of
63 the exchanger, ice crystals are generated in its inner surface, and the moving
64 device scraps the surface periodically to detach the ice from it. The presence
65 of an additive in the aqueous solution reduces the freezing temperature, in
66 order to control the proportion of ice in the solution. Ice slurries are safe,
67 environment friendly and efficient heat transporters with a capacity of up to
68 150 kJ/kg . Bellas and Tassou (2005) collected their possible applications.
69 Kauffeld et al. (2005) compared diverse ice slurry production techniques.
70 Several researchers have studied the pressure drop and heat transfer charac-
71 teristics of ice slurry flowing through compact plate heat exchangers (Bellas
72 et al., 2002; Stamatiou et al., 2005; Norgaard et al., 2005) as well as through
73 pipe heat exchangers (Bedecarrats et al., 2003; Lee and Lee, 2005; Lee and
74 Sharma, 2006; Illán and Viedma, 2009b,a).

75 This work presents a visualization study carried-out on a heat exchanger
76 prototype with a dynamic inserted device. The flow pattern is obtained by
77 employing the Particle Image Velocimetry (PIV) technique and the results
78 are shown and then compared with the flow pattern numerically obtained
79 through a commercial CFD code. The numerical simulation will serve to
80 find the turbulence model that best fits the experimental solution and helps
81 to explain that particular flow pattern.

82 The active insert device, specially designed to enhance heat transfer and
83 to avoid fouling, can also be used for ice slurry generation. It consists of
84 several discs with six circumferentially distributed holes on them, which are

85 mounted on a 18 mm diameter coaxial shaft with a pitch of $5D$ (Fig. 1). The
86 whole is moved alternatively along the axial direction by a hydraulic cylinder.
87 The effects of the Reynolds number and the scraping velocity in the flow will
88 be investigated. Furthermore, the increase of the turbulence level of the flow
89 will be analysed and related to the potential heat transfer increase.

90 [Figure 1 about here.]

91 **2. Experimental Setup**

92 [Figure 2 about here.]

93 The facility depicted in Fig. 2 was built in order to study the flow pattern
94 induced by a device inserted in the exchanger tube. The main section consists
95 of a 74 mm diameter acrylic tube installed between two reservoir tanks that
96 stabilize the flow.

97 The test section is located within a distance of 15 diameters from the
98 tube inlet in order to ensure fully developed flow conditions. To improve the
99 optical access in this section, a flat-sided acrylic box has been placed. Water
100 is the test fluid chosen for the experiments and is also used to fill the acrylic
101 box. The fluid is pumped through the conducts by a gear pump, regulated by
102 a frequency converter which allows the control of its bulk velocity, measured
103 by an electromagnetic flowmeter. The pump is composed of small gear teeth
104 and in the experiments has always worked at frequencies over 25 Hz to ensure
105 a stable flow. In order to control the fluid temperature, there is an electric
106 heater in the upper reservoir tank. With the rest of the variables fixed, these
107 two parameters determine the Reynolds number. By using water as test

108 fluid at temperatures from 25°C to 55°C and flow rates of 100 to 1500 l/h, a
109 Reynolds number range between 400 and 6200 can be obtained.

110 [Figure 3 about here.]

111 Particle Image Velocimetry is a broadly used technique which allows us
112 to measure velocity patterns in a flow (Raffel et al., 2000). To that end,
113 the flow is seeded with particles with nearly the same density of the test
114 fluid, in this case 50 microns diameter polyamide particles have been chosen
115 (1.016 *kg/l*). As shown in Fig. 3(a), a laser illuminates flat slices of the
116 flow which contain the axis of the pipe (longitudinal section). The camera is
117 situated in orthogonal position in relation to that plane, so that it can have a
118 front view of it. Taking two consecutive images of the particles and knowing
119 the time gap between them, the 2-dimensional velocity field can be obtained.

120 The 1 mm thick plane laser light is pulsed at 100 – 600 Hz in order to ob-
121 tain multiple pairs of images. Its wavelength is 808 nm. The 1280×1024 pix²
122 CMOS camera, together with a 16X optical zoom lens, provides images with
123 a resolution of 0.14 mm/pix. The camera is controlled by a computer and the
124 camera provides the synchronizing signal to the laser pulse. In the dynamic
125 experiments, the pictures are taken in pairs, triggered by the movement of
126 the scraping device. For each experiment, between 500 and 1000 pairs of
127 images have been processed using the software VidPIV. *Cross Correlation*
128 (C.C.) and *Adaptive Cross Correlation* (A.C.C.) algorithms have been used
129 to process the acquired pictures. They have been applied to every pair of im-
130 ages consecutively (Scarano and Reithmuller, 2000), starting with the C.C.
131 with an interrogation area of 32×32 pix² and an overlap of 50%, followed

132 by the A.C.C. algorithm with the same window size and finally repeating
133 the last algorithm with a smaller window size ($16 \times 16 \text{ pix}^2$). Between the
134 application of each algorithm and in the post-processing, a global velocity
135 filter and an interpolation have been applied, the first one being in charge
136 of eliminating outliers, vectors which are non-consistent with the rest in the
137 field. Finally, results are obtained as an average of the individual results for
138 each pair of images.

139 The laser light is 1 mm wide and 100 mm high. The PIV technique can
140 only give good results in a region 80 mm high where the illumination quality
141 is optimal. Velocity results are processed in three regions as shown in Fig. 1:
142 Region A, upstream of the scraper, Region B, immediately downstream of the
143 scraper and Region C after Region B, being an overlap of 20 mm between
144 regions B and C. The position of each region is referenced to the scraper
145 position as shown in Fig. 1. 500 pairs of images have been taken in the
146 experiments in region A and 1000 pairs in the experiments in regions B and
147 C.

148 All the experiments have been repeated at least 3 times to ensure high
149 quality of the final results, which showed high repeatability once the experi-
150 mental method was properly adjusted.

151 In dynamic experiments, the insert device has an alternative movement
152 with constant and practically equal velocities in each direction ($|v_{s,co} - v_{s,ct}| <$
153 2%), with an amplitude of 200 mm ($2.7D$). The shaft is moved by the hy-
154 draulic system depicted in Fig. 2. There is a distortion in the movement
155 when changing direction, which does not affect significantly the average ve-
156 locity of each cycle, being both velocities almost identical but with different

157 sign (see Fig. 3):

$$v_{s,co} = -v_{s,ct} \quad (1)$$

158 The velocity of the scraper has been measured off-line by an image track-
159 ing system, and on-line by two timers (one for each direction).

160 The two directions of the movement of the insert device will be called,
161 from now on, co-current and counter-current, which relates them to the di-
162 rection of the flow. The high speed camera is configured to take pairs of
163 pictures in co-current or counter-current direction of the scraper.

164 The system is triggered by an optical sensor as described in Fig. 3(b). The
165 optical sensor is placed in the lower end of the insert device, so that its output
166 signal will change its TTL state from 0 V to 5 V when the insert device shaft
167 is detected and will change back when it goes away. The sensor signal can also
168 be configured with the opposite behaviour. By means of a timer, the signal
169 can be delayed so that the camera shot is triggered exactly when regions A,
170 B or C of the scraper are in position for the image acquisition and the scraper
171 moves in the right direction. When the camera receives the shooting signal,
172 it will take two consecutive images, upload them to the computer and wait
173 until the next shooting signal. This procedure will be repeated 500 times
174 for region A or 1000 times for regions B and C, which can be configured in
175 the commercial software provided by the camera manufacturer. The images
176 of the three regions are taken so that the scraper always appears in them,
177 acting as reference point.

178 *2.1. Accuracy of the experimental data*

179 When obtaining the velocity field out of a pair of images, the velocity at
 180 any position is calculated by the PIV algorithm as follows:

$$v_i = \frac{\Delta x_i}{R\Delta t} \quad (2)$$

$$R = n/D_h \quad (3)$$

181 The uncertainty associated to the instant velocity can be obtained from
 182 Eq. 4 and Eq. 5 , where $\partial(\Delta t)$ has been neglected due to the high timing
 183 precision of the camera:

$$\partial(R) = [(\frac{1}{D_h}\partial(n))^2 + (\frac{n}{D_h^2}\partial(D_h))^2]^{1/2} \quad (4)$$

$$\partial(v_i) = [(\frac{1}{\Delta t R}\partial(\Delta x))^2 + (\frac{\Delta x}{\Delta t R^2}\partial(R))^2]^{1/2} \quad (5)$$

184 When the same physical parameter is measured several times and the
 185 result averaged out, the corresponding uncertainty is given by Eq. 6.

$$s(V) = \sqrt{\frac{s^2(v_i)}{N}} \quad (6)$$

186 If the number of samples is high enough, this uncertainty in the mean
 187 estimation is reduced significantly.

188 The scale factor uncertainty is obtained from Eq. 4, being $\partial(R)/R =$
 189 0.0155. According to Scarano and Reithmuller (2000), if there is no veloc-
 190 ity gradient the PIV algorithm estimates the particle displacement with a
 191 precision of $\partial(\Delta x) = 0.005$ pix. However, if there is a velocity gradient, an

192 additional error appears, whose maximum value is given by the maximum
 193 velocity difference in an interrogation area (16 pix). The latter error has
 194 been calculated for the resulting velocity fields of the experiments, its maxi-
 195 mum value being $\partial'(v_i)/v_b = 0.3$, which corresponds to the area with highest
 196 velocity gradient of the experiment number 6 (see Table 1). This error is
 197 much bigger than the others and consequently, the uncertainty associated to
 198 a single measurement is $\partial(v_i)/v_b \approx \partial'(v_i)/v_b = 0.3$.

199 For the experiments, between 500 and 1000 pair of images have been
 200 used. The PIV algorithm is applied to each pair and the results averaged
 201 out. Then, the random error is reduced significantly and can be quantified
 202 by the standard deviation of the non-dimensional average velocity (Eq. 6).
 203 In the experiments this value is always under $s(V^*) < 0.01$.

204 *2.2. Turbulence contribution to the measured velocity fluctuations*

205 In the case of a turbulent flow the velocity field is not always the same
 206 and it is affected by the turbulent fluctuation. Each measured value of the
 207 velocity, can be seen as the addition of three components: the mean velocity,
 208 the turbulent fluctuation and a random error due to the measuring process.

$$v_i = V_i + v'_i + \tilde{v}_i \quad (7)$$

209 Considering an isotropic fluid at small scales, the three components of
 210 the velocity will have the same variance and thus $s(v') = s(v'_y)$.

$$s^2(v'_i) = s^2(v_i) - s^2(\tilde{v}_i) \quad (8)$$

211 The value of $s^2(\tilde{v})$ is given by fluctuating errors in the measurements. In

212 this case, the error of the PIV algorithm is due to the velocity gradients,
213 which have been quantified for the whole velocity field. The non-dimensional
214 turbulent kinetic energy of some of the experiments has been obtained from
215 Eq. 8 and Eq. 9.

$$k = \frac{3}{2} s^2 (v') \quad (9)$$

216 **3. Numerical simulation method**

217 The reciprocating movement of the scrapers creates a remarkable mix-
218 ing effect between the core region and the flow near the walls resulting in
219 a complex turbulent flow as seen in the PIV technique images. To assist
220 in identifying the underlying flow patterns, a numerical simulation has been
221 conducted for each one of the different experiments, for the static and dy-
222 namic conditions of the scraper and under the same conditions of flow rate,
223 Reynolds number, scraping direction and velocity.

224 To reduce the computation effort, all of the simulations are carried out
225 with a reduced computation domain restricted to the section of the heat
226 exchanger prototype between two consecutive scrapers. Due to the rotational
227 symmetry of the scraper, finally only one-sixth of this domain is taken into
228 account. A periodical boundary is adopted at the inlet and outlet sections in
229 which the fluid parameters are coupled and the side sections of the domain
230 are set as a symmetry condition.

231 The geometric model accurately reproduces the scraper shape and its
232 rounded edges. A structured mesh is adopted and hexahedral cells are gen-
233 erated for almost the whole computation domain. Local cell refinement is

234 carefully conducted near the walls for the consideration of the proper y^+ val-
 235 ues and to ensure the accuracy of the numerical results in the regions where
 236 high velocity gradient is expected.

237 The numerical simulation of the pipe flow with inserted devices is per-
 238 formed by using the commercial CFD software package Fluent v6.3. A steady
 239 incompressible turbulent flow model and double-precision solver are used.
 240 The conservation equations of continuity and momentum in the Cartesian
 241 coordinate system are presented in the tensor form as follows:

$$\frac{\partial u_j}{\partial x_j} = 0 \quad (10)$$

$$\frac{\partial}{\partial x_j}(\rho u_i u_j) = -\frac{\partial p}{\partial x_i} + \frac{\partial}{\partial x_j} \left[\mu \left(\frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) \right] + \frac{\partial}{\partial x_j} (-\rho \overline{u'_i u'_j}) \quad (11)$$

242 Although different turbulence models are tested, the RNG (renormaliza-
 243 tion group method) k - ε turbulence model with enhanced wall treatment is
 244 finally adopted for turbulent quantities (Fluent, 2006). This model includes
 245 the effect of swirl on turbulence so better accuracy and reliability are ex-
 246 pected compared to standard k - ε model for swirling flows. The turbulence
 247 kinetic energy k and its rate of dissipation ε are obtained from the following
 248 transport equations:

$$\frac{\partial}{\partial x_i}(\rho k u_i) = \frac{\partial}{\partial x_j} \left(\alpha_k \mu_{eff} \frac{\partial k}{\partial x_j} \right) + G_k - \rho \varepsilon \quad (12)$$

$$\frac{\partial}{\partial x_i}(\rho \varepsilon u_i) = \frac{\partial}{\partial x_j} \left(\alpha_\varepsilon \mu_{eff} \frac{\partial \varepsilon}{\partial x_j} \right) + C_{1\varepsilon} \frac{\varepsilon}{k} G_k - C_{2\varepsilon} \rho \frac{\varepsilon^2}{k} - R_\varepsilon \quad (13)$$

249 where G_k represents the generation of turbulence kinetic energy due to mean
250 velocity gradients, α_k and α_ε are the inverse effective Prandtl numbers for
251 k and ε , μ_{eff} is the effective viscosity and R_ε is an additional term that
252 improves the accuracy for rapidly strained flows.

253 In the case of dynamic condition of the scraper and in order to transform
254 the unsteady problem of fluid motion relative to the stationary frame (acrylic
255 tube) into steady with respect to the moving frame (inserted devices with
256 constant translational speed), a moving reference frame (MRF) formulation
257 is adopted in the numerical model, as outlined by Solano et al. (2010) who
258 investigated a similar reciprocating scraped surface heat exchanger.

259 For the spatial discretization all the variables are treated with the sec-
260 ond order upwind scheme, except the pressure, which uses a standard scheme.
261 The pressure-based solver is set for the numerical computations and the SIM-
262 PLE algorithm is used for the pressure-velocity coupling. Near-wall regions
263 are modelled with an enhanced wall treatment. The convergence criteria are
264 less than 1e-7 for the velocity, k and ε . The numerical model was validated
265 through some simple simulations of the heat exchanger prototype without in-
266 serted devices under the same turbulent flow regime and Reynolds number.
267 Grid independence of the results is checked by varying the number of grid
268 cells, as proposed by Freitas (2002), and taking into account the compromise
269 of computational time and accuracy.

270 **4. Results**

271 *4.1. Average flow description in static conditions*

272 The flow pattern has been analysed in static conditions, where the scraper
273 device does not move. The transition from laminar to turbulent regime in
274 this kind of devices under static conditions occurs at $Re \approx 200$ as has been
275 proved by Solano et al. (2010). Experiments have been carried out at different
276 Reynolds numbers, ranging from 1300 to 4100, which ensures a fully turbulent
277 flow. To achieve this, the temperature has been kept constant at 45°C while
278 varying the flow rate from 400 l/h to 1300 l/h.

279 Under these conditions, three groups of images have been taken, each
280 one composed of 500 to 1000 pairs of images. The first group is made up of
281 pictures of the flow just before the scraper and the other two are located in
282 consecutive positions after it (Fig. 1).

283 The 2-dimensional images represent a plane of the flow. As this type of
284 flow only has two different symmetry planes, experiments have been carried
285 out on both of them, which are in radial direction. As shown in Fig. 1,
286 the first plane (H) is located crossing each hole of the scraper through its
287 diameter and the second one (J) is situated in the middle of the gap between
288 two consecutive holes.

289 [Video 1 about here]

290 [TEXT FOR ELECTRONIC VERSION ONLY] The behaviour of the fluid
291 in Region B of both symmetry planes (H and J) is presented in Video 1.

292 [Figure 4 about here.]

293 Figure 4 shows the measured velocity fields in both symmetry planes:
294 centre hole (H) and between holes (J). Fig 4(a) shows that the flow pattern
295 is similar to a jet flow. The *jet* produces high velocities downstream of the
296 holes and flow recirculation in the near wall region and in the region between
297 the holes (plane J).

298 The insert devices produce 6 round jets of 16 mm diameter each. The
299 jets induce a reverse flow of 4-5 jet diameters long (64 – 80 mm). Figures 4
300 and 5 show the evolution of the flow profiles at different axial locations, from
301 51 mm upstream of the device to 120 mm downstream of the device.

302 A non-dimensional velocity in axial direction can be defined in terms of
303 the average velocity of the flow:

$$v_y^* = \frac{v_y}{v_b} \quad (14)$$

304 [Figure 5 about here.]

305 Then, it can be observed that its maximum value decreases downstream
306 of the scraper (Fig. 5(a)). At the device exit ($L = 20 \text{ mm}$) the velocity
307 profile has a pronounced *jet* shape ($v_{y,max}^* = 4.3$). At a position $L = 52 \text{ mm}$
308 downstream of the device, the maximum non-dimensional flow velocity is
309 around 3.5 and the velocities in the region close to the shaft are higher.
310 Further downstream the effect of the jet is about to disappear $v_{y,max}^*(L =$
311 $115 \text{ mm}) = 2$.

312 In the region between holes, a big recirculation is produced by the effect of
313 the jet flow. At about 70 mm downstream of the device, the jet has expanded
314 to the region between holes (plane J) and the flow becomes axisymmetric,

315 having higher velocities next to the shaft. From this point on, the turbulence
316 induces an homogeneous velocity profile. In the near wall region downstream
317 of the scraper the average velocity is, in general, very low in comparison to
318 the bulk velocity, which can produce an undesired accumulation of fouling.
319 Anyway, in practical applications the insert device will be moved sporadically
320 in order to scrap the inner tube surface.

321 Despite the variation of the Reynolds number in the experiments, results
322 show no significant differences between experiments at $Re = 1300, 2200, 4400$.

323 [Figure 6 about here.]

324 In Fig. 6 the numerical results of non-dimensional velocity in axial di-
325 rection, v_y^* , are compared with the experimental data in order to examine
326 the performance of the numerical model. The numerical simulations are con-
327 ducted with several turbulence models, including standard $k-\varepsilon$ model and
328 RNG $k-\varepsilon$ model, to show which turbulence model best represents the flow
329 field. As shown in Fig. 6(a) the standard $k-\varepsilon$ model underestimates the jet
330 flow scale so is no longer used in the remaining simulations. Comparative
331 studies with other RANS turbulent models show that the RNG $k-\varepsilon$ model
332 is the one which best reproduces the overall flow field, therefore it has been
333 used in this investigation. Fig. 6(b) shows how the model accurately rep-
334 represents the jet effect and how the predicted results differ slightly from the
335 measured velocities with a maximum deviation of 3%.

336 4.2. Average flow description in dynamic conditions

337 For the description of the flow in dynamic conditions, it will be useful to
338 define the *blockage of the flow* β , a non-dimensional number defined in terms

339 of the bulk velocity v_b and the velocity of the scraper v_s (Solano et al., 2010).
340 The *blockage* parameter expresses whether the scraper, with its movement,
341 is blocking or helping the fluid flow.

$$\beta = \frac{v_b - v_s}{v_b} = 1 - \frac{v_s}{v_b} \quad (15)$$

- 342 • If $v_s < v_b$ then $\beta > 0$ and the scraper is blocking the flow.
- 343 • If $v_s > v_b$ then $\beta < 0$ and the scraper is helping the fluid flow.

344 The bulk velocity always being a positive number ($v_b > 0$), for a counter-
345 flow direction of the scraper movement ($v_s < 0$) and for static conditions
346 ($v_s = 0$) the *blockage* will always be positive ($\beta > 0$). However, when the
347 scraper is moving in co-current direction of the flow ($v_s > 0$), the *blockage*
348 parameter can be positive (for $0 < v_s < v_b$), zero (for $v_s = v_b$) or negative
349 (for $v_s > v_b$).

350 [Table 1 about here.]

351 For this section, experiments have been carried out at five scraping ve-
352 locities, in co-current and counter-flow directions corresponding to values of
353 $\beta \in [-1, 3]$ (see details in Table 1). The Reynolds number has been kept
354 constant at $Re = 1400$.

355 [Video 2 about here]

356 [TEXT FOR ELECTRONIC VERSION ONLY] An example of the sig-
357 nificant effect of the scraper on the flow pattern can be observed in Video 2.

358 [Figure 7 about here.]

359 [Figure 8 about here.]

360 Fig. 7 and Fig. 8 depict the non-dimensional velocity field v^* (Eq. 14)
361 in both symmetry planes of the scraper for $Re_h = 1400$ and β ranging from
362 -1 to 3 . As can be observed, the velocity field depends strongly on the
363 *blockage* phenomenon. As a consequence, the results of the experiments will
364 be grouped according to their *blockage* parameter.

365 4.2.1. Positive blockage of the flow

366 In the experiments where the *blockage* is positive, the velocity pattern
367 is similar in shape to the one obtained in static conditions (Section 4.1).
368 On the one hand, upstream the scraping device, the velocity profile is equal
369 to the one developed in an annulus geometry, and it becomes influenced by
370 the presence of the scraper when coming closer to it. On the other hand,
371 downstream the device, the flow has a *jet* shape, with high positive velocities
372 in the inner region, close to the shaft. In the outer region and the region
373 between the holes, a reverse flow appears, induced by the high velocities in
374 the *jet*.

375 The effect of the scraper in the flow is very similar for all the experi-
376 ments with $\beta > 0$, but the strength of that effect varies with the value of
377 β . The greater the positive *blockage* parameter, the higher the influence of
378 the scraper. On the contrary, the closer to zero the *blockage* parameter, the
379 lower the influence. These effects can be seen in both symmetry planes, the
380 effects being stronger in plane H which is located in the middle of the *jet*.

381 [Figure 9 about here.]

382 For instance, in Figure 9 it can be observed that upstream the scraper
 383 ($L = -10$ mm) the influence of the scraper is hardly appreciable with $\beta =$
 384 0.5 where $v_{y,max}^* = 1.2$ or $\beta = 1$ where $v_{y,max}^* = 1.7$, while in a counter-
 385 current motion of the scraper with $\beta = 3$ the effect is significantly stronger
 386 $v_{y,max}^* = 3.4$ and it can be observed further from the scraper (see Fig. 7).
 387 Downstream of the scraper the strength of the *jet* increases with β , as it
 388 can be appreciated in Fig. 9. At the device exit $L = 18$ mm, the maximum
 389 non-dimensional velocity in the jet has a value of $v_{y,max}^* = 2$ at $\beta = 0.5$,
 390 $v_{y,max}^* = 4.3$ at $\beta = 1$, $v_{y,max}^* = 4.9$ at $\beta = 1.5$, $v_{y,max}^* = 6.5$ at $\beta = 2$ and
 391 $v_{y,max}^* = 7.5$ at $\beta = 3$. Furthermore, the reverse flow is also higher at $\beta = 3$
 392 than at $\beta = 0.5$, where it can be hardly appreciated. At $L = 18$ mm the
 393 maximum velocity in counter-current direction varies from $v_{y,max}^* = -0.3$,
 394 at $\beta = 0.5$, to $v_{y,max}^* = -3.1$ at $\beta = 3$. Regarding the total length of the
 395 *jet*, it can not be seen in all the experiments, but it can be safely concluded
 396 that it increases with the positive *blockage*.

397 A secondary difference between experiments with positive *blockage*, is the
 398 influence of the movement of the shaft on the velocity profile. This effect is
 399 similar to the one which takes place in annulus with a moving shaft. Observ-
 400 ing Fig. 7 at positions where the influence of the scraper is low (upstream of
 401 the scraper), it can be appreciated that the velocity of the fluid near the mov-
 402 ing shaft is influenced by its movement, being lower when the shaft moves in
 403 counter-current direction and higher when it moves in co-current direction,
 404 whereas the velocity near the pipe wall suffers the opposite effect.

405 4.2.2. Negative blockage of the flow

406 [Figure 10 about here.]

407 An experiment with negative *blockage* has been carried out in *co-current*
 408 direction and $\beta = -1$. The results depicted in Figures 7 8, 10 and 9 show
 409 a totally different behaviour from the positive *blockage* experiments. In this
 410 case, upstream of the scraper high co-current velocities appear in the outer
 411 region of the pipe, reaching a maximum non-dimensional velocity of $v_{y,max}^* =$
 412 3 at $L = -31$ mm (Fig. 10). Besides, in spite of the co-current movement of
 413 the shaft, there is a counter-current flow in the inner region ($v_{y,min}^* = -1.3$
 414 at $L = -31$ mm). Both effects can be appreciated in planes H and J. On the
 415 other side of the scraper, downstream, the velocity profile around $L = 10$ mm
 416 in plan H shows higher velocities close to the wall and the shaft, while in
 417 between the velocity is nearly perpendicular to the direction of the flow. From
 418 $L = 21$ mm on, the profile becomes flatter, having higher velocities ($v_{y,max}^* =$
 419 2.2) close to the central moving shaft. Further downstream ($L = 64$ mm),
 420 the velocities close to the moving shaft have become higher ($v_{y,max}^* = 3.3$)
 421 and some reverse flow appears close to the outer wall ($v_{y,min}^* = -0.2$). The
 422 effects in plane J downstream of the scraper are very alike, as can be seen in
 423 Fig. 10(b).

424 4.3. Turbulent kinetic energy of the flow

425 [Figure 11 about here.]

426 The turbulent kinetic energy of some of the experiments is depicted in
 427 Fig. 11(b) and the corresponding PIV results in Fig. 11(a). The results show
 428 a dependence of the turbulent kinetic energy with the *blockage parameter*. In
 429 experiments with a big positive *blockage* parameter ($\beta = 3$), the maximum
 430 standard deviation of the measure is high, about $k_{max}^* = 35$. Its maximum

431 value gets lower when the positive *blockage* parameter decreases, $k_{max}^* = 15$
432 for $\beta = 3$, $k_{max}^* = 14$ for $\beta = 1.5$ and $k_{max}^* = 2.2$ for $\beta = 0.5$. For the
433 experiment with negative *blockage* ($\beta = -1$), $k_{max}^* = 4.3$. So it can be
434 concluded that a bigger absolute value of β produces higher turbulence levels
435 in the flow.

436 4.4. Numerical results

437 [Figure 12 about here.]

438 The numerical simulations of the heat exchanger prototype with dynamic
439 inserted devices show an intensive recirculation flow induced by the scrapers
440 that increases the velocity fluctuation in the flow field. The fluid velocity
441 increases downstream through the holes and a remarkable recirculation re-
442 gion is formed behind the scrapers, which leads to considerable enhancement
443 of the mixing effect. Numerical results of non-dimensional velocity fields in
444 Fig. 12 show that the CFD simulation with the RNG $k-\varepsilon$ model can be used
445 to accurately predict the flow pattern characteristics of the heat exchanger
446 prototype. The measured and predicted scales for the main recirculations are
447 found to be similar with different *blockage* parameters as shown in Fig. 12(a)
448 for positive *blockage* and Fig. 12(b) for negative *blockage*, where a big recircu-
449 lation region is formed downstream of the scraper and a weaker one emerges
450 upstream of the scraper due to the flow *blockage*. From these comparative
451 studies it can be found that the proposed numerical model can successfully
452 represent the flow performance in heat exchangers with dynamic inserted
453 devices.

454 5. Conclusions

- 455 1. By means of PIV and a computational model, the flow pattern in
456 the tubular enhanced heat exchanger has been obtained for different
457 Reynolds numbers and scraping parameters.
- 458 2. Computational and experimental results are in good agreement and the
459 CFD simulation with the RNG $k-\varepsilon$ model is of reasonable precision, so
460 that it can be further used for the cases not supported by experiments.
- 461 3. In scraping conditions where the *blockage parameter* is positive, the
462 device produces a *jet* flow which yields to high velocities and large
463 vortex in the region between the holes and in the region close to the
464 wall downstream of the scraper.
- 465 4. For a negative value of the *blockage parameter* upstream of the scraper
466 a core of high velocities and a reverse flow in the outer region are
467 produced, while downstream of it high velocities take place in the outer
468 region and a light reverse flow appears in the inner region at some
469 distance from the scraper.
- 470 5. High values of the *blockage parameter* yield a significant increase in the
471 turbulence level of the flow, whereas values of β close to zero will cause
472 lower turbulence levels. As a consequence, low values of the *blockage*
473 *parameter* are to be avoided when selecting the scraping velocity (v_s).

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562 **List of videos**

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564 (Region B, $Re = 1300$).
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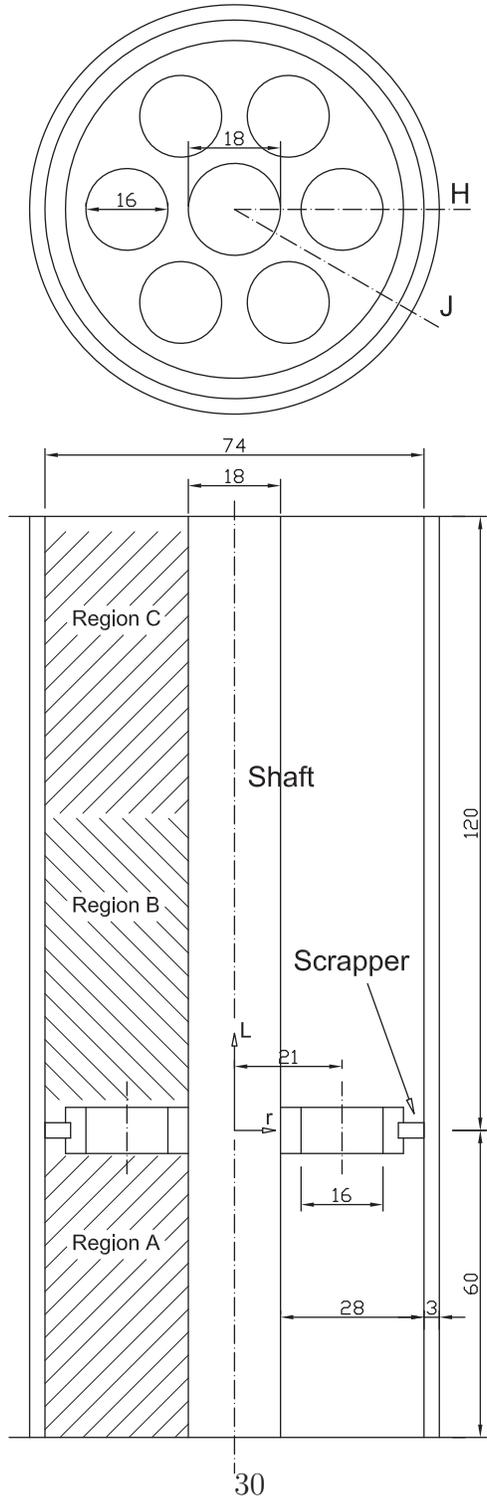


Figure 1: Sketch of the active insert device analysed.

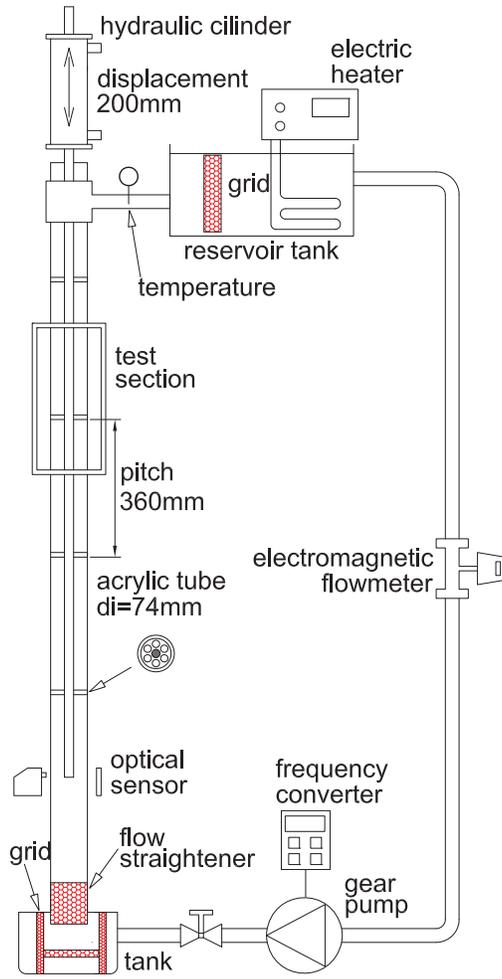
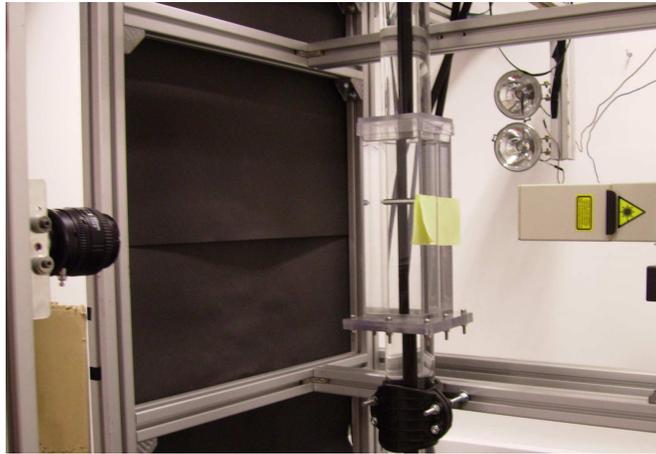
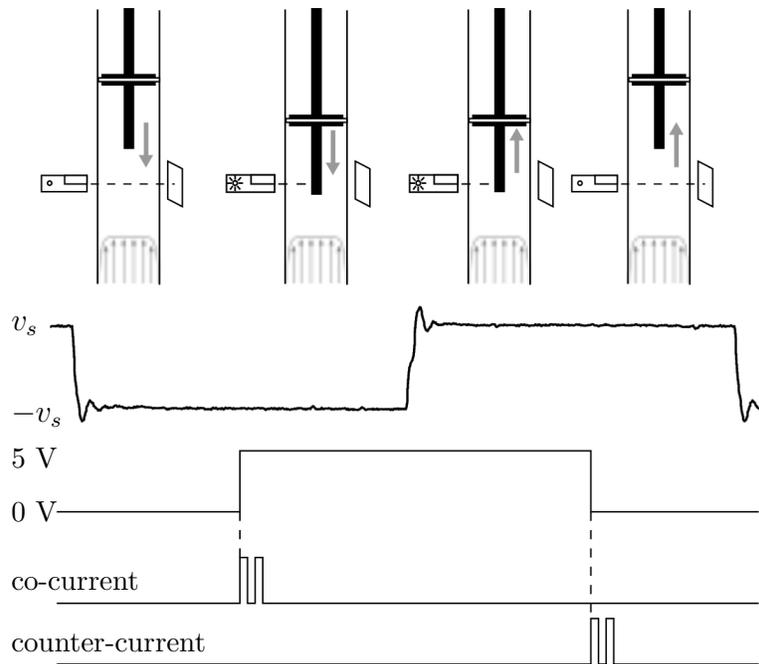


Figure 2: Sketch of the experimental facility



(a) Photograph of the PIV facility.



(b) Optical sensor operation. From up to down: optical sensor arrangement, velocity of the scraper, sensor output signal (TTL) and camera shooting signal in the two operation modes (co-current and counter-current).

Figure 3: PIV system components.

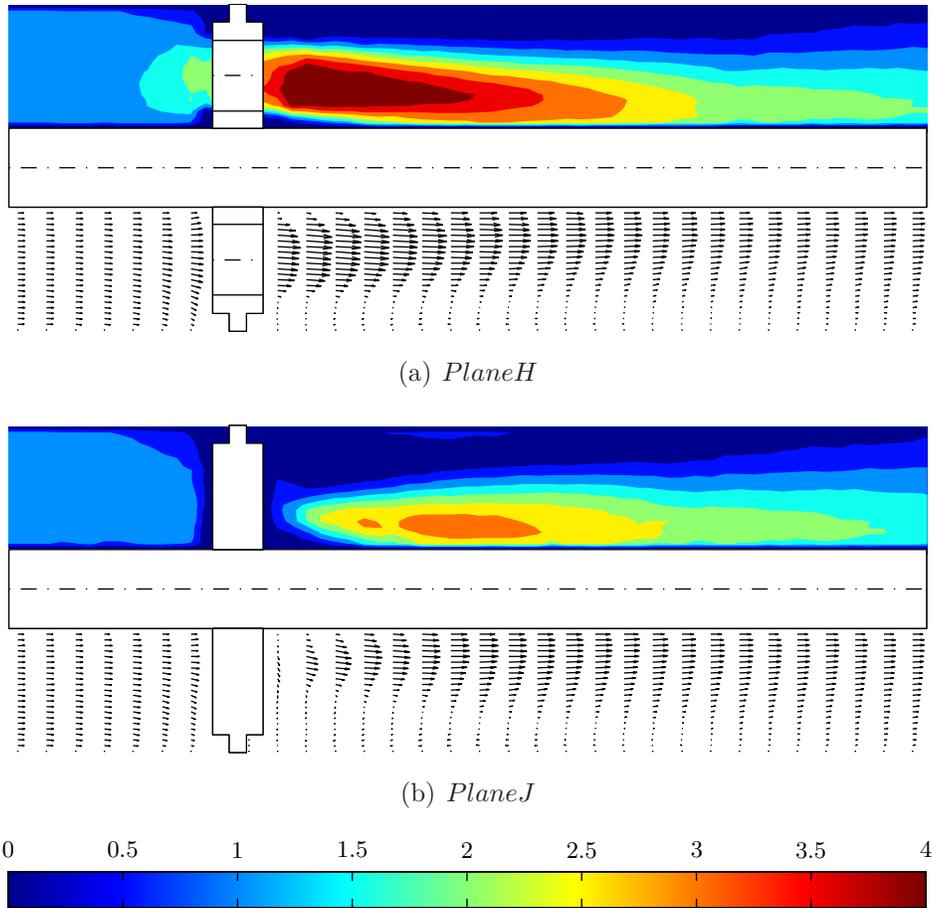
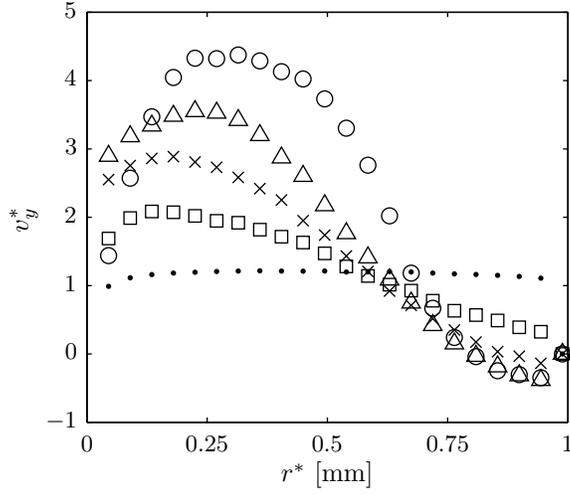
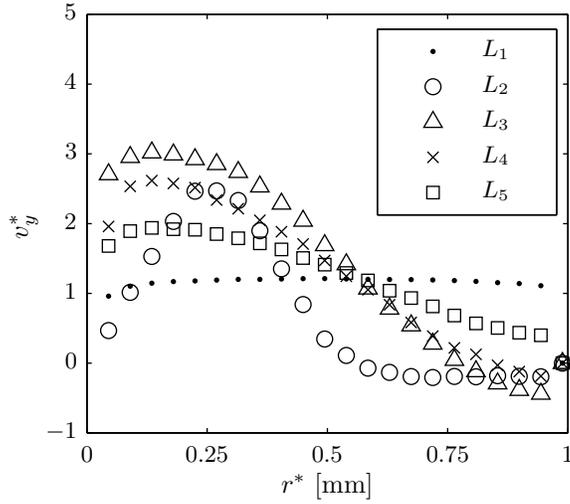


Figure 4: Assessment of mean flow structures in static conditions. $Re = 4100$. Representation of non-dimensional velocity in axial direction, v_y^* . Represented length of the pipe: $-40 \text{ mm} < L < 120 \text{ mm}$.

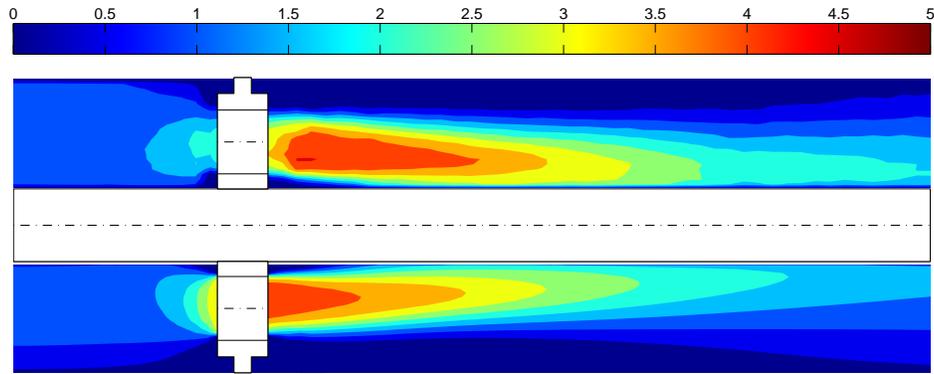


(a) *Plane H*

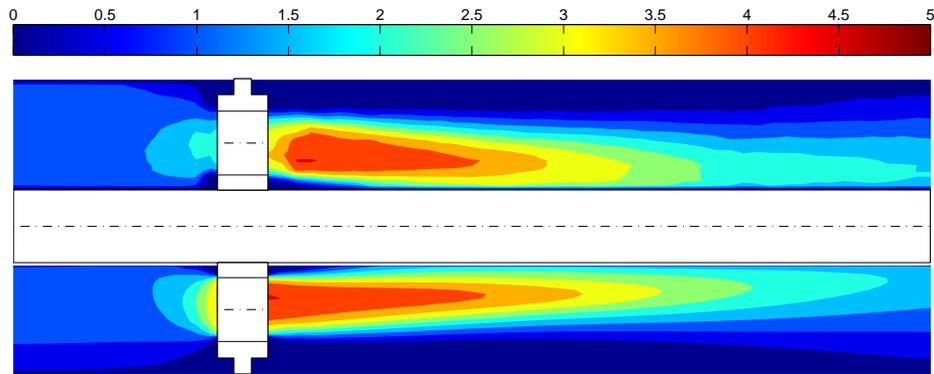


(b) *Plane J*

Figure 5: Velocity profiles in static conditions of the scraper, measured at different axial positions: $L_1 = -51$ mm, $L_2 = 20$ mm, $L_3 = 52$ mm, $L_4 = 70$ mm, $L_5 = 115$ mm.



(a) Experimental (top) and numerical (bottom) results using the standard $k-\varepsilon$ model



(b) Experimental (top) and numerical (bottom) results using the RNG $k-\varepsilon$ model

Figure 6: Comparison of mean flow structures obtained experimentally and numerically in static conditions at $Re = 4100$. Representation of non-dimensional velocity in axial direction, v_y^* . Represented length of the pipe: $-40 \text{ mm} < L < 120 \text{ mm}$.

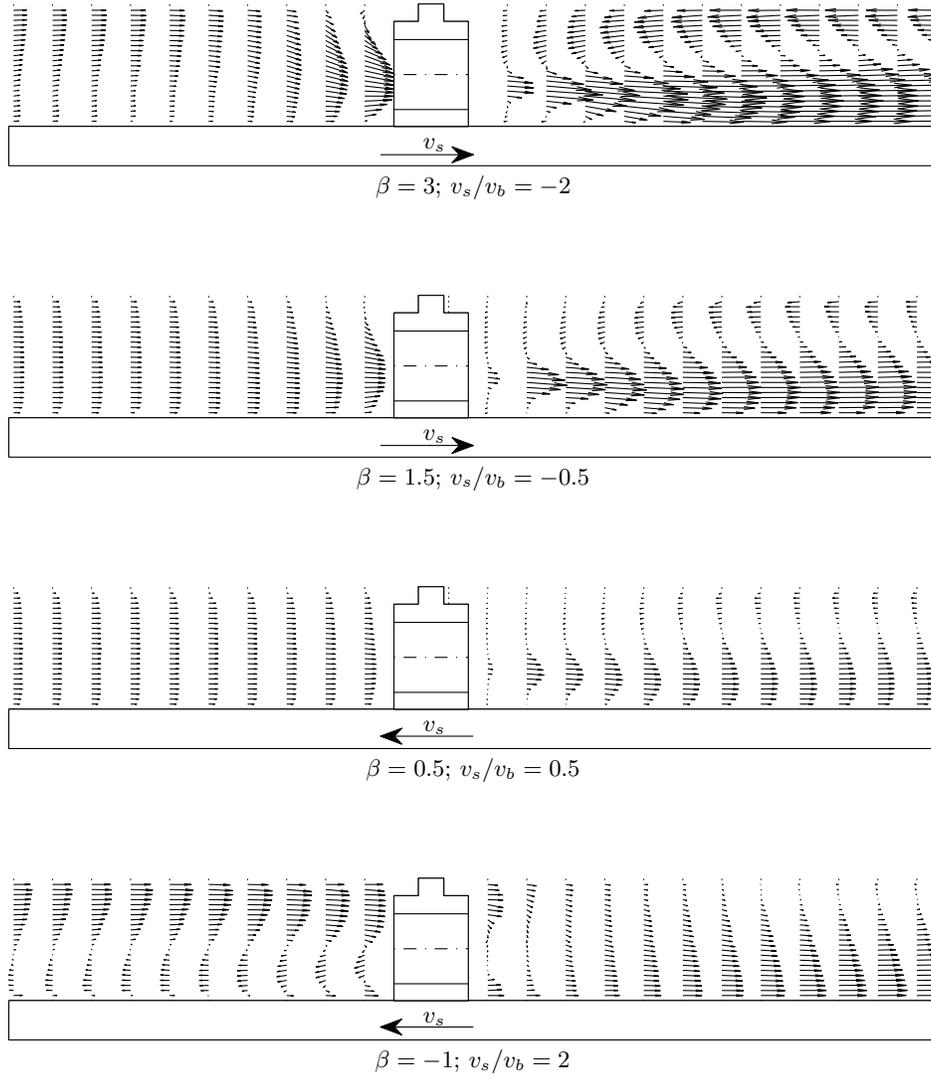


Figure 7: PIV velocity field along the H symmetry plane at $Re = 1400$. Represented length of the pipe: $-50 \text{ mm} < L < 60 \text{ mm}$.

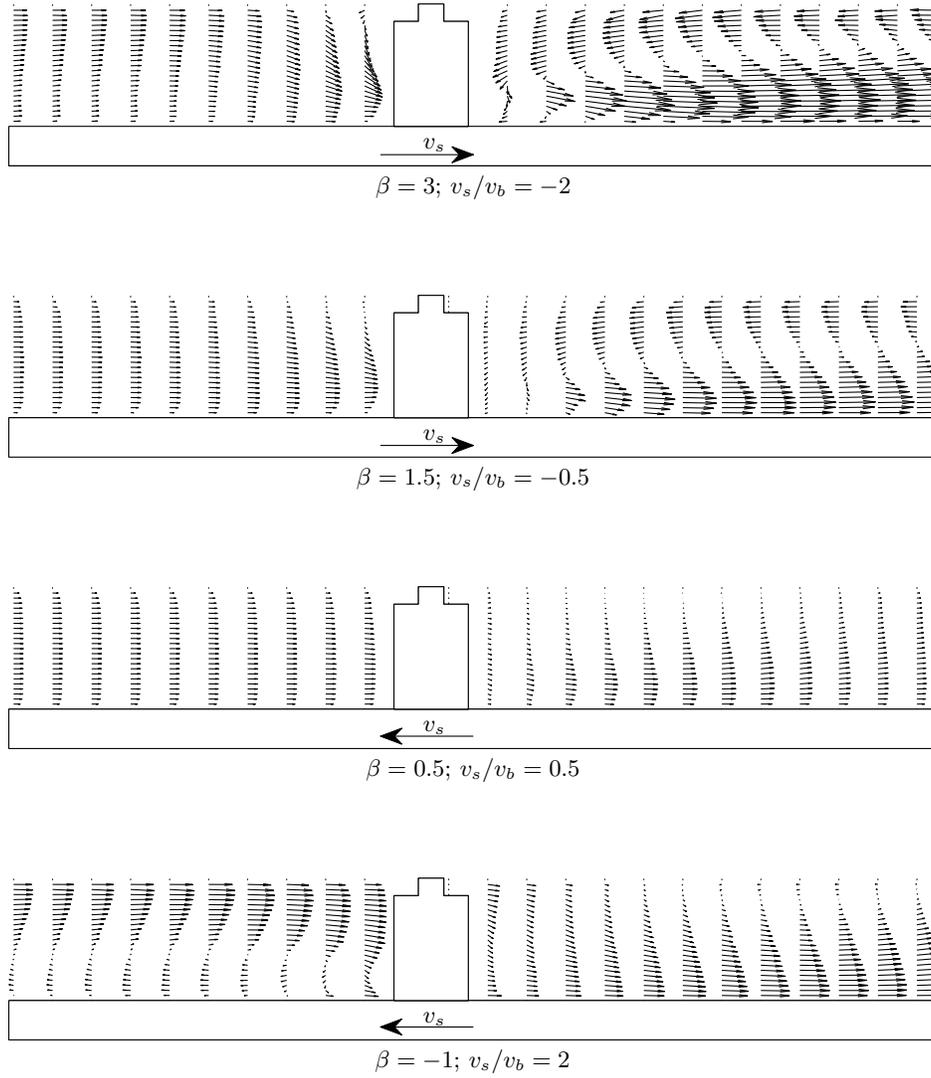


Figure 8: PIV velocity field along the J symmetry plane at $Re = 1400$. Represented length of the pipe: $-50 \text{ mm} < L < 60 \text{ mm}$.

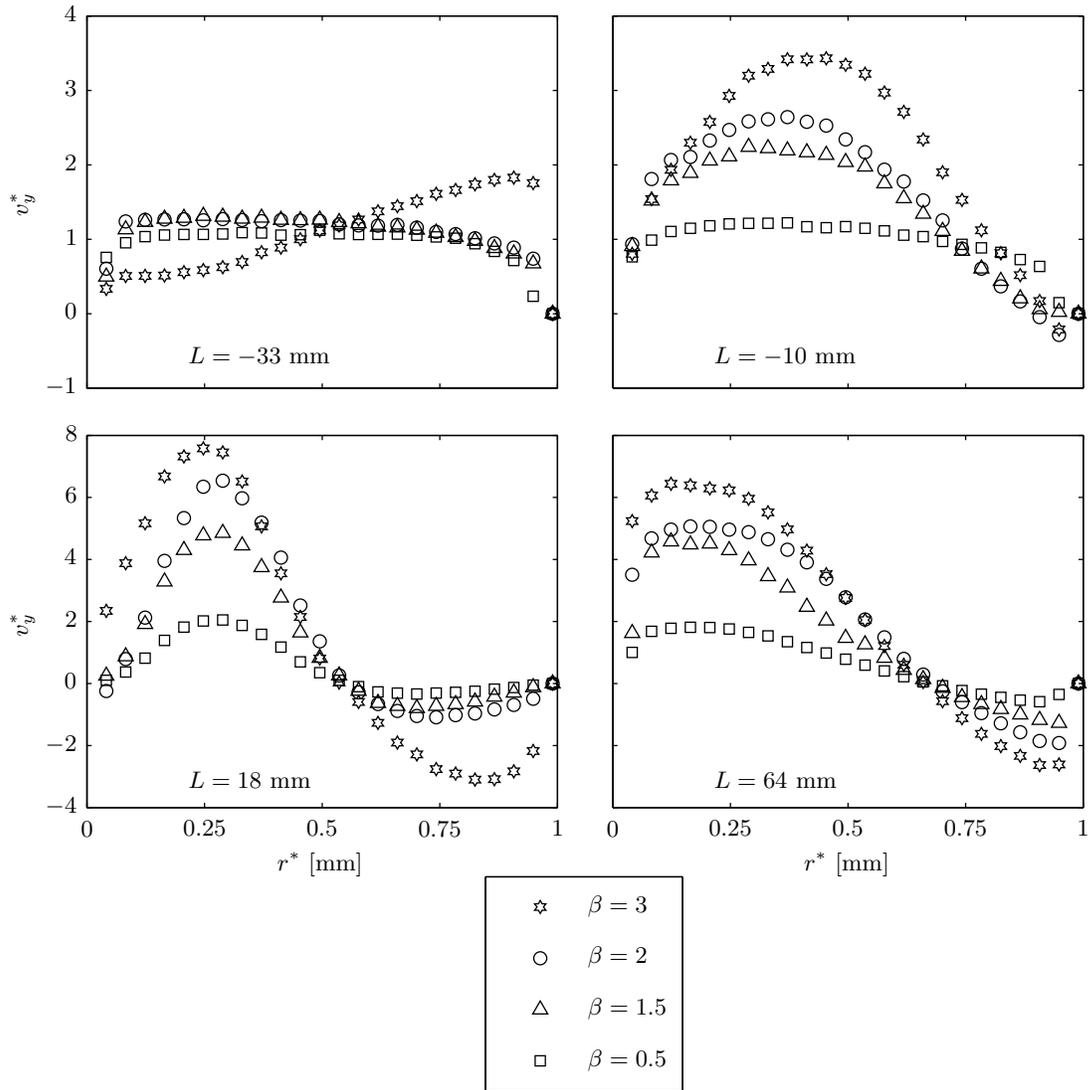


Figure 9: PIV velocity profiles along the H symmetry plane at $Re = 1400$ and $0.5 < \beta < 3$.

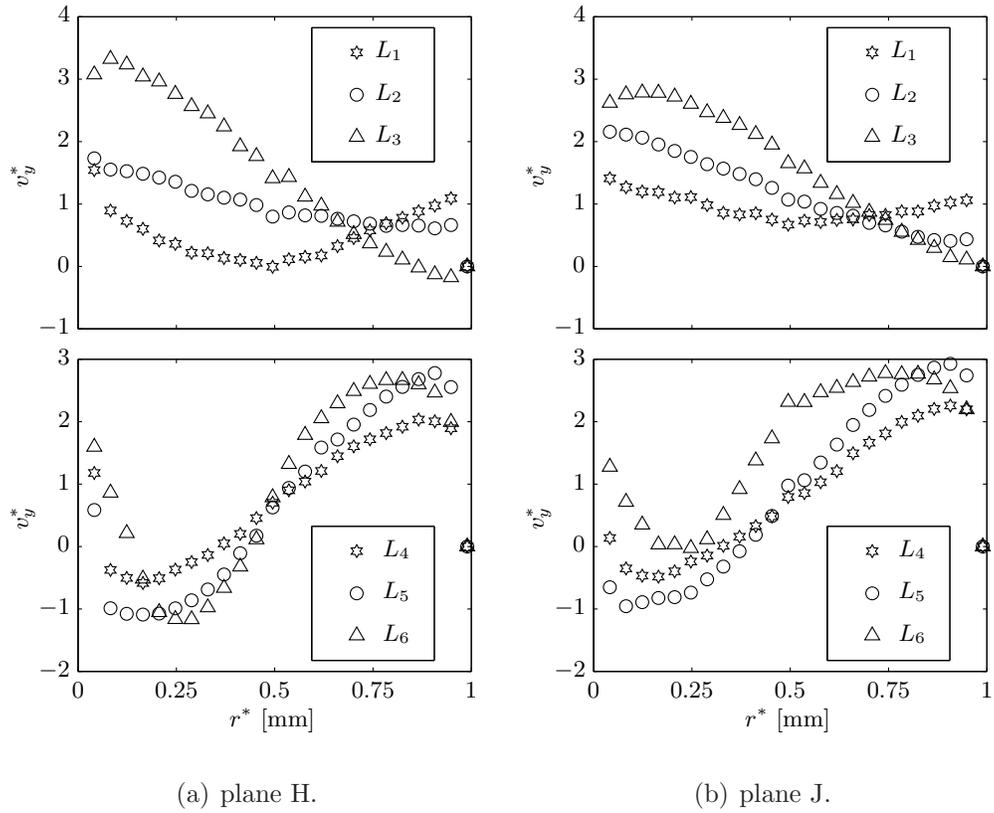
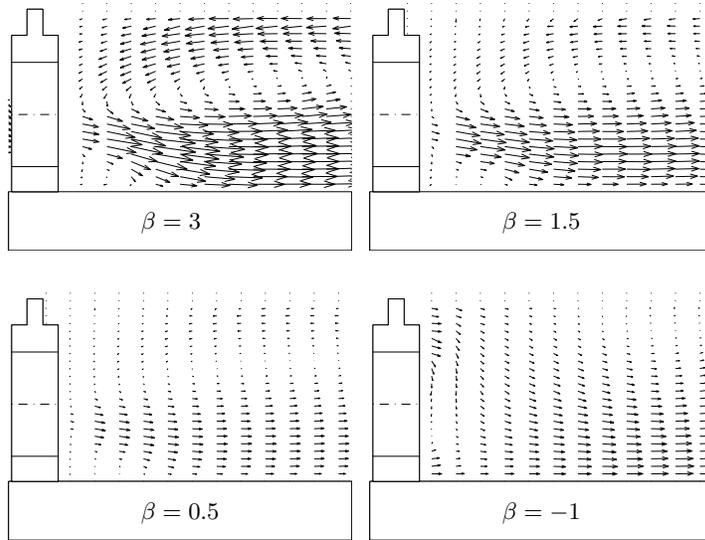
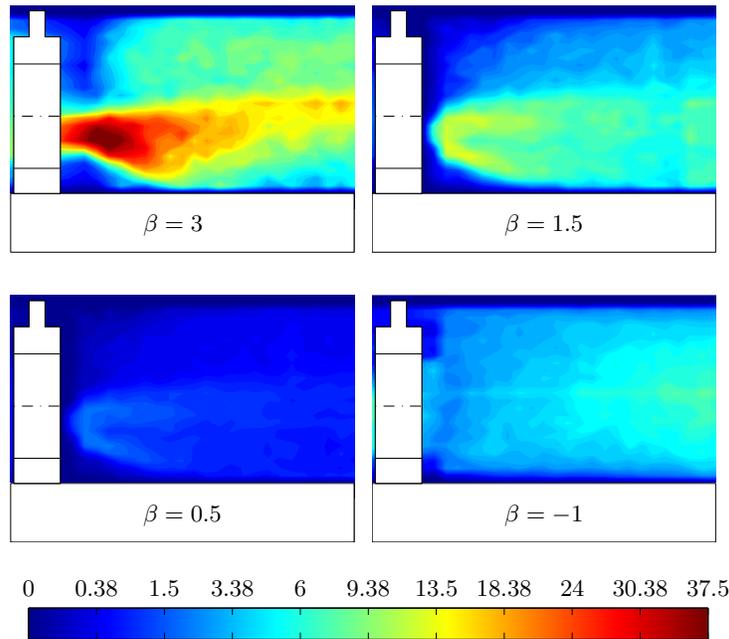


Figure 10: PIV velocity profiles at $Re = 1400$ and $\beta = -1$. $L_1 = 11$ mm, $L_2 = 21$ mm, $L_3 = 64$ mm, $L_4 = -52$ mm, $L_5 = -31$ mm, $L_6 = -10$ mm,

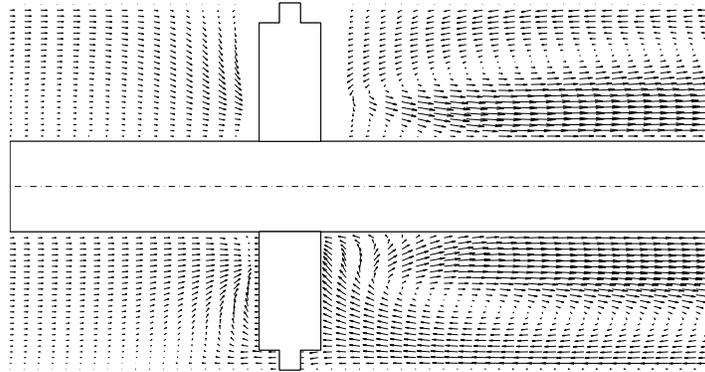


(a) Velocity field.

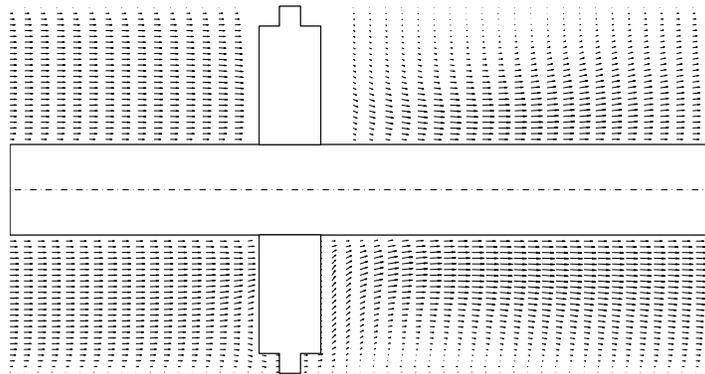


(b) Turbulent kinetic energy of the flow, k^* .

Figure 11: PIV details in region A along the H symmetry plane at $Re = 1400$ and four scraping velocities. Represented length of the pipe: $-5 \text{ mm} < L < 60 \text{ mm}$.



(a) Experimental (top) and numerical (bottom) results using the RNG $k\text{-}\varepsilon$ model at scraping velocity $\beta = 3$



(b) Experimental (top) and numerical (bottom) results using the RNG $k\text{-}\varepsilon$ model at scraping velocity $\beta = 0.5$

Figure 12: Comparison of non-dimensional velocity fields, v_y^* , along the J symmetry plane obtained experimentally and numerically in dynamic conditions at $Re = 1400$ and two scraping velocities ($\beta = 3, 0.5$). Represented length of the pipe: $-45 \text{ mm} < L < 65 \text{ mm}$.

Ex.	Q [l/h]	T [°C]	v_b [m/s]	Re	v_s [m/s]	v_s/v_b	β
1	590	30	0.0405	1400	0.02025	0.5	0.5
2	590	30	0.0405	1400	-0.02025	-0.5	1.5
3	590	30	0.0405	1400	0.0405	1	0
4	590	30	0.0405	1400	-0.0405	-1	2
5	371	54	0.0255	1400	0.0510	2	-1
6	371	54	0.0255	1400	-0.0510	-2	3

Table 1: Experiments in dynamic conditions of the scraper.

Electronic Annex

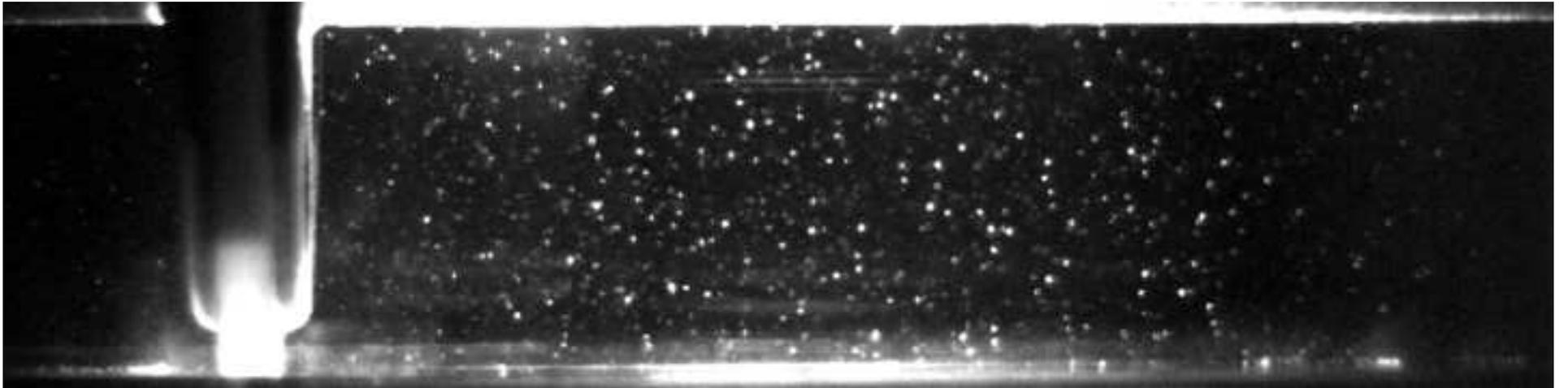
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